#### Edgar Filing: VALMONT INDUSTRIES INC - Form 3

#### **VALMONT INDUSTRIES INC**

Form 3 May 08, 2015

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 3235-0104 Number:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

0.5

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\_ Form filed by More than One

Reporting Person

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement VALMONT INDUSTRIES INC [VMI] Ruffalo Barry (Month/Day/Year) 04/28/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) VALMONT INDUSTRIES (Check all applicable) INC, ONE VALMONT PLAZA 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) **EVP Operational Excellence** \_X\_ Form filed by One Reporting Person

#### OMAHA, NEÂ 68154

(State)

(City)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock (1) 2,680 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Derivative	Security:	

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Relationships

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Common Stock	03/10/2016	03/10/2022	Non-Qualified Stock Option (right to buy)	3,800 (2)	\$ 120.91	D	Â

### **Reporting Owners**

Reporting Owner Name / Address				
	Director	10% Owner	Officer	Other
Ruffalo Barry VALMONT INDUSTRIES INC ONE VALMONT PLAZA OMAHA Â NEÂ 68154	Â	Â	EVP Operational Excellence	Â

### **Signatures**

 $\mathbf{C}$ 

/s/ R. Andrew Massey for Barry A. 05/08/2015

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit award which vests on the first anniversary of the grant date (03/10/2015) and on vesting settled in equal number of shares of common stock.
- (2) The option vests in three annual installments beginning on March 10, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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