ALIMERA SCIENCES INC

Form 4

November 10, 2015

November	10, 2015											
FORI	VI 4 UNITED	STATES	S SECU	J RITII	ES	AND EX	КСН	ANGE C	COMMISSION		APPROVAL	
						n, D.C. 2	Number:					
if no lo	nger	MENT O	E CILA	NOES	' TN	A DENIEL	et et	AL OW	NEDCHID OF	Expires:	January 31, 2005	
subject	10	MENI O	Г СНА				ICI	AL OW	NERSHIP OF	Estimate	ed average	
	Section 16. SECURITIES Form 4 or							burden h	ours per e 0.5			
Form 5 obligation may co	Filed pu	(a) of the	Public	Utility	Ho	olding Co	mpa	_	e Act of 1934, f 1935 or Section 10	·	5 0.0	
(Print or Type	e Responses)											
1. Name and Address of Reporting Person * 2. Iss Flynn James E Symbol				l		nd Ticker o			5. Relationship of Reporting Person(s) to Issuer			
				ERA S	SCI	IENCES	INC	[ALIM]	(Check all applicable)			
(Last)	(First)	(Middle)				Transaction	1		D	V	10% 0	
				/Day/Ye /2015	ear)				Director X 10% Owner Officer (give title X Other (specify			
FLOOR,	2 11 1 21 1 0 2 , 0 7 1		11/00/	2013					below) Possible N	below) Member of 10	0% Group	
				If Amendment, Date Original led(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person			
NEW YOL	RK, NY 10017								_X_ Form filed by Person			
(City)	(State)	(Zip)	Ta	ble I - N	lon	-Derivativ	e Seci	urities Acq	uired, Disposed o	of, or Benefic	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr.	8)	OF Dispose (Instr. 3, 4	(A) or	(D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount	(D)	Price			Through	
Common Stock	11/06/2015			P		36,625	A	\$ 2.9827 (1)	4,502,658	I	Deerfield Special Situations Fund, L.P. (2)	
								\$			Through Deerfield	
Common	11/06/2015			P		36,625	A		470,967	I	Private	
Stock						,		(1)	,		Design Fund	

(1)

181,222

I

Design Fund III, L.P. (2) (3)

Edgar Filing: ALIMERA SCIENCES INC - Form 4

Through Common Deerfield Stock Private Design Fund II, L.P. (2) (3) Through Deerfield Common Private 207,667 Ι Stock Design International II, L.P. (2) (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Owne Follo Repo Trans
				Disposed of (D) (Instr. 3,						•
				4, and 5)	Date	Expiration	(Amount		
			Code V	(A) (D)	Exercisable	Date	1 itie	Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Flynn James E 780 THIRD AVENUE, 37TH FLOOR NEW YORK, NY 10017		X		Possible Member of 10% Group			
Deerfield Mgmt L.P. 780 THIRD AVENUE 37TH FLOOR NEW YORK, NY 10017		X		Possible Member of 10% Group			

Reporting Owners 2

DEERFIELD MANAGEMENT CO 780 THIRD AVENUE, 37TH FLOOR NEW YORK, NY 10017	X	Possible Member of 10% Group
Deerfield Special Situations Fund, L.P. 780 3RD AVENUE 37TH FLOOR NEW YORK, NY 10017	X	Possible Member of 10% Group
Deerfield Private Design Fund II, L.P. 780 THIRD AVENUE, 37TH FLOOR NEW YORK, NY 10017	X	Possible Member of 10% Group
Deerfield Mgmt III, L.P. 780 THIRD AVENUE, 37TH FLOOR NEW YORK, NY 10017	X	Possible Member of 10% Group
Deerfield Private Design Fund III, L.P. 780 THIRD AVENUE, 37TH FLOOR NEW YORK, NY 10017	X	Possible Member of 10% Group
Deerfield Private Design International II, L.P. BISON COURT, P.O. BOX 3460 ROAD TOWN, TORTOLA, D8 00000	X	Possible Member of 10% Group

Signatures

/s/ Jonathan Isler 11/10/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$2.94 to \$3.00, inclusive. The reporting persons undertake to provide to the Issuer, any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote (1) of this Form 4.
- This Form 4 is being filed by the undersigned as well as the entities listed on the Joint Filer Information Statement attached as an exhibit hereto (the "Reporting Persons"). Deerfield Mgmt III, L.P. is the general partner of Deerfield Private Design Fund III, L.P. ("Fund III"). Deerfield Mgmt L.P. is the general partner of Deerfield Special Situations Fund, L.P., Deerfield Private Design Fund II, L.P. and Deerfield Private Design International II, L.P. (collectively with Fund III, the "Funds"). Deerfield Management Company, L.P. is the investment manager of the Funds. James E. Flynn is the sole member of the general partner of each of Deerfield Mgmt III, L.P., Deerfield Mgmt, L.P. and Deerfield Management Company, L.P.
- In accordance with Instruction 4 (b)(iv) to Form 4, the entire amount of the Issuer's securities held by the Funds is reported herein. For purposes of Section 16 of the Securities Exchange Act of 1934, as amended, each Reporting Person disclaims beneficial ownership of any such securities, except to the extent of his/its indirect pecuniary interest therein, if any, and this report shall not be deemed an admission that such Reporting Person is the beneficial owner of such securities for purposes of Section 16 or otherwise.

Remarks:

Jonathan Isler, Attorney-in-Fact: Power of Attorney, which is hereby incorporated by reference to Exhibit 24 to a Form 3 with Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3