UMB FINANCIAL CORP Form 8-K/A February 08, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Form 8-K/A

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): 1/23/2018

UMB FINANCIAL CORPORATION

(Exact name of registrant as specified in its charter)

Commission File Number: 000-04887

MO (State or other jurisdiction of incorporation) 43-0903811 (IRS Employer Identification No.)

1010 Grand Blvd., Kansas City, MO 64106

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(Address of principal executive offices, including zip code)

(816) 860-7000

(Registrant s telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13c-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 2.02 Results of Operations and Financial Condition

On January 23, 2018, UMB Financial Corporation (the Company) filed a Current Report on Form 8-K (the Original Form 8-K) with the U.S. Securities and Exchange Commission (the SEC) to, among other things, report on the Company s financial results for the quarter and year ended December 31, 2017, and to announce a quarterly dividend. Subsequent to the filing, the Company discovered that a table in the press release attached to the Original Form 8-K as Exhibit 99.1 contained an incorrectly formatted table. This Amendment No. 1 to the Original Form 8-K (this Form 8-K) is being filed to furnish a revised press release with a correctly formatted table. The revised press release is attached hereto as Exhibit 99.1 and will be available on the Company s website at www.umb.com.

The information provided under Item 2.02 of this Form 8-K, including Exhibit 99.1 hereto, is being furnished and shall not be deemed to be filed with the SEC for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act) or otherwise subject to the liabilities of that section and are not incorporated by reference into any filing of the Company under the Securities Act of 1933, as amended, or the Exchange Act, whether made before or after the date hereof, except as shall be expressly set forth by specific reference to this Form 8-K in such a filing.

Item 9.01 Financial Statements and Exhibits

Exhibit 99.1 Press Release announcing financial results for the quarter and year ended December 31, 2017.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

UMB FINANCIAL CORPORATION

By: /s/ Ram Shankar

Ram Shankar

Chief Financial Officer

Date: February 8, 2018

EXHIBIT INDEX

Exhibit No. Description

Exhibit 99.1 Press Release announcing financial results for the quarter and year ended December 31, 2017.