Edgar Filing: PEARSON PLC - Form 6-K

PEARSON PLC Form 6-K April 13, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 6-K

# REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

For the month of April 2016

PEARSON plc (Exact name of registrant as specified in its charter)

N/A

(Translation of registrant's name into English)

80 Strand London, England WC2R 0RL 44-20-7010-2000 (Address of principal executive office)

Indicate by check mark whether the Registrant files or will file annual reports under cover of Form 20-F or Form 40-F:

Form 20-F X Form 40-F

Indicate by check mark whether the Registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934

Yes No X

# Edgar Filing: PEARSON PLC - Form 6-K

#### NOTIFICATION OF MAJOR INTEREST IN SHARES

1. Identity of the issuer or the underlying issuer

of existing shares to which voting rights are attached:

Pearson plc

2. Reason for the notification (please tick the appropriate box or boxes):

An acquisition or disposal of voting rights

X

An acquisition or disposal of qualifying financial instruments which may result in the acquisition of shares already issued to which voting rights are attached.

An acquisition or disposal of instruments with similar economic effect to qualifying financial instruments

An event changing the breakdown of voting rights

Other (please specify):

3. Full name of person(s) subject to the

notification obligation:

Ameriprise Financial, Inc. and its group

Nortrust Nominees Ltd Vidacos Nominees Ltd

State Street Nominees Limited

HSBC Global Custody Nominee (UK) Limited

4. Full name of shareholder(s)

Chase Nominees Limited

(if different from 3.): Roy Nominees Ltd

The Bank of New York (Nominees) Limited

**BNP Paribas Nominees Pty Limited** 

BNY Mellon Nominees Ltd

State Street Bank and Trust Company

5. Date of the transaction and date on

which the threshold is crossed or

reached:

8 April 2016

6. Date on which issuer notified:

7. Threshold(s) that is/are crossed or

reached:

12 April 2016

Above the threshold of 5%

## 8. Notified details:

A: Voting rights attached to shares

Class/type of Situation previous to the triggering Resulting situation after the triggering transaction

shares transaction

Number of Shares Number of Voting Number of Number of voting rights % of voting rights Rights shares if possible using Direct Indirect Direct Indirect the ISIN CODE Ordinary shares GB0006776081 N/A N/A 318,779 318,779 40,850,796 0.039% 4.972% US7050151056 N/A N/A 0 0 66,800 0% 0.008%

## B: Qualifying Financial Instruments

Resulting situation after the triggering transaction

Type of financial instrument Expiration Exercise/Conversion Period

date

Number of voting rights % of voting that may be acquired if the rights instrument is exercised/ converted.

## Edgar Filing: PEARSON PLC - Form 6-K

C: Financial Instruments with similar economic effect to Qualifying Financial Instruments Resulting situation after the triggering transaction

Type of financial Exercise Expiration Exercise/ConNvensborn of voting rights % of voting rights

instrument price date period instrument refers to

Nominal Delta

Total (A+B+C)

Number of voting rights

Percentage of voting rights

5.019%

41,236,375

9. Chain of controlled undertakings through which the voting rights and/or the financial instruments are effectively held, if applicable:

Threadneedle Asset Management Limited (4.763%)

Columbia Management Investment Advisers, LLC (0.255%)

Ameriprise Financial Services, Inc. (0.001%)

Columbia Management Investment Advisers, LLC is wholly owned by Ameriprise Financial, Inc.

Ameriprise Financial Services, Inc. is wholly owned by AMPF Holding Corporation, which is itself wholly owned by Ameriprise Financial, Inc.

Threadneedle Asset Management Limited is wholly owned by TC Financing Limited, which is itself wholly owned by Threadneedle Asset Management Holdings Limited, which is itself wholly owned by TAM UK Holdings Limited, which is itself wholly owned by Threadneedle Asset Management Holdings SARL, which is itself wholly owned by Ameriprise International Holdings GmbH, which is itself wholly owned by Ameriprise Financial, Inc.

#### **Proxy Voting:**

10. Name of the proxy holder: N/A
11. Number of voting rights proxy holder will cease to hold: N/A
12. Date on which proxy holder will cease to hold voting rights: N/A

The Shares referred to in section 9 of this form are held in portfolios and

13. Additional funds managed on a discretionary basis by the entities set out in section 9.

information: Please note that the Shares are held through certain nominee companies.

14. Contact

Mark Powney, Threadneedle Asset Management Ltd.

name: 15. Contact

telephone +44 (0) 1793 363 135

number:

Natalie Dale

**Deputy Company Secretary** 

Pearson plc

13 April 2016

# Edgar Filing: PEARSON PLC - Form 6-K SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.
PEARSON plo
Date: 13 April 2016
By: /s/ STEPHEN JONES
Stephen Jones Deputy Secretary