

Edgar Filing: UNILEVER N V - Form 6-K

UNILEVER N V  
Form 6-K  
June 07, 2005

FORM 6-K  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

REPORT OF FOREIGN ISSUER

Pursuant to Rule 13a-16 or 15d-16  
of the Securities Exchange Act of 1934

For the month of June, 2005

UNILEVER N.V.  
(Translation of registrant's name into English)

WEENA 455, 3013 AL, P.O. BOX 760, 3000 DK, ROTTERDAM, THE NETHERLANDS  
(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F..X.. Form 40-F.....

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):\_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):\_\_\_\_\_

Indicate by check mark whether the registrant by furnishing the information contained in this Form is also thereby furnishing the information to the Commission pursuant to Rule 12g3-2(b) under the Securities Exchange Act of 1934.

Yes ..... No ..X..

If "Yes" is marked, indicate below the file number assigned to the registrant in connection with Rule 12g3-2(b): 82- \_\_\_\_\_

Exhibit 99 attached hereto is incorporated herein by reference.

Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

UNILEVER N.V.

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/S/ A. BURGmans  
 By A. BURGmans  
 CHAIRMAN

/S/ J.A.A. VAN DER BIJL  
 By J.A.A. VAN DER BIJL  
 SECRETARY

Date: June 6, 2005

EXHIBIT INDEX

EXHIBIT NUMBER	EXHIBIT DESCRIPTION
99	Notice to the Netherlands Authority for the Financial Markets dated 6 June, 2005 Exercise of Options

Exhibit 99

Notification form for transactions in securities by members of the Board of Directors as well as members of the Supervisory Board (section 2a Wmz 1996)

Part I

- Name of the issuing institution: Unilever N.V.
- Name of the person obliged to notify: A. Burgmans
- Statement of the total number of securities prior to the transaction (NB: with respect to the initial notification, you only have to fill out questions 1 and 2, the table below and part II of this form):

Type of security	Name of the issuing institution	Number of securities	Total capital
Depository receipt for ordinary share, nominal value NLG 1.12	Unilever N.V.	43,874	49,138.9
Ordinary shares nominal value NLG 1.12	Unilever N.V.	692	775.04
NLG 0.10 cum. Preference share	Unilever N.V.	7,750	775
Employee / executive option on ordinary	Unilever N.V.	105,850	0

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share of nominal value NLG 1.12

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 TSR-LTIP conditional award in the form of rights over shares      Unilever N.V.      14,654      0  
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Sort of security involved in the transaction

4.    type of security      : options  
       (Share/ Convertible bond/ Option/ Warrant/ Other)

5    To be filled out if applicable

      Nominal value of the (underlying) share      : NLG 1.12 (EUR 0.51)

      Option series:      All employee option plan

      Exercise price/conversion rate      : EUR 53.05

      Expiration date      : 5 June 2005

Transaction in the security indicated in questions 4 and 5

6.    Transaction date      : 3 June 2005

7a.   Number of securities acquired in the transaction(1)      : 50

b.    Number of securities sold in the transaction      : not applicable

8.    Purchase price and/or selling price      : not applicable

9.    Transaction according to an investment management agreement:      0 YES      X NO

10.   Statement of the total number of securities after the transaction:

Type of security	Name of the issuing institution	Number of securities	Total capital
Depository receipt for ordinary share, nominal value NLG 1.12	Unilever N.V.	43,924	49,194.9
Ordinary shares nominal value NLG 1.12	Unilever N.V.	692	775.04

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NLG 0.10 cum. Preference share	Unilever N.V.	7,750	775
Employee / executive option on ordinary share of nominal value NLG 1.12	Unilever N.V.	105,800	0
TSR-LTIP conditional award in the form of rights over shares	Unilever N.V.	14,654	0

Notification under the 'regular' Wmz 1996

In the event that the percentage of your holding in the issuing institution comes within a bandwidth other than that immediately prior to the acquisition or disposal, you are also obliged to notify the percentage of your holding according to section 2 of the Wmz 1996. You can fill out the required information below.

Capital Interest (total)	%	Voting Rights (total)	%
- Direct actual	%	- Direct actual	%
- Direct potential	%	- Direct potential	%
- Indirect actual	%	- Indirect actual	%
- Indirect potential	%	- Indirect potential	%

Denominator Capital Interest EUR  
Denominator Voting Rights .....(number)

1. Is this the first notification under section 2 of the Wmz 1996:    yes    no
2. Is this the first notification the issuing institution concerned: yes    no
3. If a notification relates to an indirect interest, the applied allocation rule(s) must be indicated.

The allocation rules are;

- the Capital interest and/or Voting rights are at the disposal of a subsidiary 0
- the Capital interest and/or Voting rights are held by a third party for the account of the Person subject to notification duty 0
- the Voting rights are pursuant to a voting rights agreement 0

Part II notification form section 2a Wmz 1996

(Intended solely to enable the Netherlands Authority for the Financial Markets to verify this notification; this information will not be entered in the register)

What is the relation between the person obliged to notify and the issuing

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institution? Indicate by ticking the appropriate category:

- 1. Member of the Board of Directors : X YES NO
- 2. Member of the Board of Directors of an affiliated company : YES X NO
- 3. Member of the Supervisory Board : YES X NO
- 4. Member of the Supervisory Board of an affiliated company : YES X NO

Is the notification made through the Compliance Officer of the issuing institution: X YES  
NO

To the best of my knowledge and belief I certify that the information set forth in this statement is true, complete and correct:

Name of the Contact person Date: 6 June 2005  
 K.G.E. Henquet  
 Unilever N.V.  
 Postbus 760  
 3000 DK Rotterdam  
 Telephone: +31-10-21744094  
 Telefax:: +31-10-2174419  
 E-mail: karlijn.henquet@unilever.com

Signature: \_\_\_\_\_  
 J.A.A. van der Bijl  
 Compliance Officer

-----  
 (1) You also need to provide a statement of all the securities of the own issuing institution as well as affiliated institutions that are being held for your account prior to as well as after the transaction. This statement can be filled out in the tables under questions 3 and 10!

Notification form for transactions in securities by members of the Board of Directors as well as members of the Supervisory Board (section 2a Wmz 1996)

Part I

- 1. Name of the issuing institution: Unilever N.V.
- 2. Name of the person obliged to notify: R.H.P. Markham
- 3. Statement of the total number of securities prior to the transaction (NB: with respect to the initial notification, you only have to fill out questions 1 and 2, the table below and part II of this form):

Type of security	Name of the issuing institution	Number of securities	Total capital
Ordinary share, nominal value NLG 1.12	Unilever N.V.	31,931	35,762.7

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Employee option on ordinary share of nominal value NLG 1.12	Unilever N.V.	88,350	0
Restricted share rights	Unilever N.V.	14,089	0
Conditional performance shares	Unilever N.V.	2,000	0

Sort of security involved in the transaction

4. type of security : Options
- 5 To be filled out if applicable
- Nominal value of the (underlying) share : NLG 1.12 (EUR 0.51)
- Option series: All employee option plan
- Exercise price/conversion rate : EUR 53.05
- Expiration date : 5 June 2005

Transaction in the security indicated in questions 4 and 5

6. Transaction date : 3 June 2005
- 7a. Number of securities acquired in the transaction(1) : 50
- b. Number of securities sold in the transaction : not applicable
8. Purchase price and/or selling price : not applicable
9. Transaction according to an investment management agreement:  YES  NO
10. Statement of the total number of securities after the transaction:

Type of security	Name of the issuing institution	Number of securities	Total capital
Ordinary share, nominal value NLG 1.12	Unilever N.V.	31,981	35,818.7

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Employee option on ordinary share of nominal value NLG 1.12	Unilever N.V.	88,300	0
Restricted share rights	Unilever N.V.	14,089	0
Conditional performance shares	Unilever N.V.	2,000	0

Notification under the 'regular' Wmz 1996

In the event that the percentage of your holding in the issuing institution comes within a bandwidth other than that immediately prior to the acquisition or disposal, you are also obliged to notify the percentage of your holding according to section 2 of the Wmz 1996. You can fill out the required information below.

Capital Interest (total)	%	Voting Rights (total)	%
- Direct actual	%	- Direct actual	%
- Direct potential	%	- Direct potential	%
- Indirect actual	%	- Indirect actual	%
- Indirect potential	%	- Indirect potential	%

Denominator Capital Interest EUR.....  
Denominator Voting Rights .....(number)

1. Is this the first notification under section 2 of the Wmz 1996:    yes    no
2. Is this the first notification the issuing institution concerned: yes    no
3. If a notification relates to an indirect interest, the applied allocation rule(s) must be indicated.

The allocation rules are;

- the Capital interest and/or Voting rights are at the disposal of a subsidiary 0
- the Capital interest and/or Voting rights are held by a third party for the account of the Person subject to notification duty 0
- the Voting rights are pursuant to a voting rights agreement 0

Part II notification form section 2a Wmz 1996

(Intended solely to enable the Netherlands Authority for the Financial Markets to verify this notification; this information will not be entered in the register)

What is the relation between the person obliged to notify and the issuing institution? Indicate by ticking the appropriate category:

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- 1. Member of the Board of Directors : X YES NO
- 2. Member of the Board of Directors of an affiliated company : YES X NO
- 3. Member of the Supervisory Board : YES X NO
- 4. Member of the Supervisory Board of an affiliated company: YES X NO

Is the notification made through the Compliance Officer of the issuing institution: X YES  
NO

To the best of my knowledge and belief I certify that the information set forth in this statement is true, complete and correct:

Name of the Contact person Date: 6 June 2005  
 K.G.E. Henquet  
 Unilever N.V.  
 Postbus 760  
 3000 DK Rotterdam  
 Telephone: +31-10-2174094  
 Telefax:: +31-10-2174419  
 E-mail: karlijn.henquet@unilever.com

Signature: \_\_\_\_\_  
 J.A.A. van der Bijl  
 Compliance Officer

-----  
 (1) You also need to provide a statement of all the securities of the own issuing institution as well as affiliated institutions that are being held for your account prior to as well as after the transaction. This statement can be filled out in the tables under questions 3 and 10!

Notification form for transactions in securities by members of the Board of Directors as well as members of the Supervisory Board (section 2a Wmz 1996)

Part I

- 1. Name of the issuing institution: Unilever N.V.
- 2. Name of the person obliged to notify: C.J. van der Graaf
- 3. Statement of the total number of securities prior to the transaction (NB: with respect to the initial notification, you only have to fill out questions 1 and 2, the table below and part II of this form):

Type of security	Name of the issuing institution	Number of securities	Total capital
Depository receipt for ordinary share	Unilever N.V.	7,617	8,531



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Employee option on ordinary share of nominal value NLG 1.12	Unilever N.V.	44,850	0
TSR-LTIP conditional award in the form of rights over shares	Unilever N.V.	10,427	0
Conditional performance shares	Unilever N.V.	2,000	0

Sort of security involved in the transaction

4. type of security : options

5 To be filled out if applicable

Nominal value of the (underlying) share : NLG 1.12 (EUR 0.51)

Option series: All employee option plan

Exercise price/conversion rate : EUR 53.05

Expiration date : 5 June 2005

Transaction in the security indicated in questions 4 and 5

6. Transaction date : 3 June 2005

7a. Number of securities acquired in the transaction(1) : 50

b. Number of securities sold in the transaction : not applicable

8. Purchase price and/or selling price : not applicable

9. Transaction according to an investment management agreement:  YES  NO

10. Statement of the total number of securities after the transaction:

Type of security	Name of the issuing institution	Number of securities	Total capital
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Depository receipt for ordinary share	Unilever N.V.	7,667	8,587.04
Employee option on ordinary share of nominal value NLG 1.12	Unilever N.V.	44,800	0
TSR-LTIP conditional award in the form of rights over shares	Unilever N.V.	10,427	0
Conditional performance shares	Unilever N.V.	2,000	0

Notification under the 'regular' Wmz 1996

In the event that the percentage of your holding in the issuing institution comes within a bandwidth other than that immediately prior to the acquisition or disposal, you are also obliged to notify the percentage of your holding according to section 2 of the Wmz 1996. You can fill out the required information below.

Capital Interest (total)	%	Voting Rights (total)	%
- Direct actual	%	- Direct actual	%
- Direct potential	%	- Direct potential	%
- Indirect actual	%	- Indirect actual	%
- Indirect potential	%	- Indirect potential	%

Denominator Capital Interest EUR.....  
Denominator Voting Rights .....(number)

1. Is this the first notification under section 2 of the Wmz 1996:   yes   no
2. Is this the first notification the issuing institution concerned: yes   no
3. If a notification relates to an indirect interest, the applied allocation rule(s) must be indicated.

The allocation rules are;

- the Capital interest and/or Voting rights are at the disposal of a subsidiary 0
- the Capital interest and/or Voting rights are held by a third party for the account of the Person subject to notification duty 0
- the Voting rights are pursuant to a voting rights agreement 0

Part II notification form section 2a Wmz 1996

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(Intended solely to enable the Netherlands Authority for the Financial Markets to verify this notification; this information will not be entered in the register)

What is the relation between the person obliged to notify and the issuing institution? Indicate by ticking the appropriate category:

1. Member of the Board of Directors	:	<input checked="" type="checkbox"/>	YES	<input type="checkbox"/>	NO
2. Member of the Board of Directors of an affiliated company	:	<input type="checkbox"/>	YES	<input checked="" type="checkbox"/>	NO
3. Member of the Supervisory Board	:	<input type="checkbox"/>	YES	<input checked="" type="checkbox"/>	NO
4. Member of the Supervisory Board of an affiliated company	:	<input type="checkbox"/>	YES	<input checked="" type="checkbox"/>	NO

Is the notification made through the Compliance Officer of the issuing institution:  YES  NO

To the best of my knowledge and belief I certify that the information set forth in this statement is true, complete and correct:

Name of the Contact person Date: 6 June 2005  
K.G.E. Henquet  
Unilever N.V.  
Postbus 760  
3000 DK Rotterdam  
Telephone: +31-10-21744094  
Telefax: +31-10-2174419  
E-mail: karlijn.henquet@unilever.com

Signature: \_\_\_\_\_  
J.A.A. van der Bijl  
Compliance Officer

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(1) You also need to provide a statement of all the securities of the own issuing institution as well as affiliated institutions that are being held for your account prior to as well as after the transaction. This statement can be filled out in the tables under questions 3 and 10!