Edgar Filing: BROCK WILLIAM E - Form 4

| BROCK WI | ILLIAM E | | | | | | | | | | | |
|---------------------------------------------------------------------------------------------------|------------------------|-------------------|---------------------------------|----------------------------------------------------------------------------------|----------------|-----------|-------------------------|------------------------------------------------------------|---------------------------------------------------------------------|------------|--|--|
| Form 4 | | | | | | | | | | | | |
| January 04, | 2019 | | | | | | | | | | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | | PPROVAL | | | |
| Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | | |
| Check this box | | | | | | | | Expires: | January 31, | | | |
| if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | Estimated a | 2005 average | | | |
| | Section 16. SECURITIES | | | | | | | | burden hours per | | | |
| Form 4 o Form 5 | | urcuant to | Section 1 | 6(a) of the | a Sacurit | ios F | vehang | e Act of 1934, | response | 0.5 | | |
| obligatio | ons Section 1 | | | | | | • | 1935 or Section | n | | | |
| may con See Inst | linue. | | | • | • | · · | | | | | | |
| <i>See</i> Instruction 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| 1. Name and Address of Reporting Person _ 2. Issuer Name and Ticker or Trading 5. Relationship of | | | | | | | Reporting Person(s) to | | | | | |
| BROCK WILLIAM E Symbol | | | | er Name and Ticker or Trading | | | | Issuer | | | | |
| | | | | Inc [ASG] | N] | | | | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | | | | | (Chec | k all applicable | ;) | | |
| | | | (Month/E | Day/Year) | | | | _X_ Director | | Owner | | |
| | | | | 2/2019 | | | | Officer (give title Other (specify below) below) | | | | |
| MALIBU H | | | | | | | | | | | | |
| (Street) 4. | | | | If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| Filed(Mc | | | | nth/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| CALABASAS CA 91301 Form filed by M | | | | | | | Iore than One Reporting | | | | | |
| | | | | | | | | Person | | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of | 2. Transaction D | | | 3.4. Securities AcquiredTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5) | | | | | 6. Ownership 7. Nature Form: Direct Indirect (D) or Beneficia | | | |
| Security (Instr. 3) | (Month/Day/Yea | ar) Execution any | on Date, if | | | | | Securities Beneficially | | Beneficial | | |
| (| | | Day/Year) | (Instr. 8) | | | -) | Owned | Indirect (I) | Ownership | | |
| | | | | | | | | Following Reported | (Instr. 4) | (Instr. 4) | | |
| | | | | | | (A) | | Transaction(s) | | | | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | |
| Common | 01/02/2019 | | | А | 2,301 | A | \$ | 19,355 | D | | | |
| Stock | 0110212017 | | | 11 | (1) | 11 | 54.31 | 17,555 | D | | | |
| Common | 01/02/2010 | | | F | 262 (2) | D | \$ | 18 002 | D | | | |
| Stock | 01/02/2019 | | | Г | 362 <u>(2)</u> | D | 54.31 | 18,993 | D | | | |
| | | | | | | | | | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|-------|----------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address Director Relationships

10% Owner Officer Other



Signatures

By: Jennifer Painter, CLO For: William E. Brock

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

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- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This grant of restricted stock units vests 50% on the grant date, and the remaining 50% vests on the one-year anniversary of the grant date (1)subject to continued service to the Company.
- Director elected to satisfy his tax withholding obligations upon vesting of RSUs that were granted on 1/2/2019, by having the issuer (2)withhold a number of vested shares equal to that of the Director's tax liability.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

01/04/2019

Date