#### CITIGROUP INC

Form 4 January 23, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Pe | erson |
|-------------------------------------|-------|
| Gerspach John C                     |       |

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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OMB

Number:

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CITIGROUP INC [C]

(Middle)

(Zip)

(First) (Last)

(Check all applicable)

C/O CORPORATE LAW DEP'T,

CITIGROUP INC., 601 **LEXINGTON AVENUE 19TH** 

**FLOOR** 

(City)

3. Date of Earliest Transaction (Month/Day/Year)

01/21/2014

Director 10% Owner X\_ Officer (give title Other (specify below)

Chief Financial Officer

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

#### NEW YORK, NY 10022

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or Security (Month/Day/Year) Execution Date, if TransactiorDisposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Securities Owned Following

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

5. Amount of Ownership Beneficially Form: Direct (D) or Indirect

D

Indirect Beneficial Ownership (Instr. 4)

7. Nature of

(A) Code V

or (D) Price Reported (I) Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Amount \$ 34,723.5

205,189.8

01/21/2014 Stock Common

Common

Stock

F (1) D 52.4025

> 40,000 (2) I

By **GRAT** 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exerc | cisable and         | 7. Titl | le and       | 8. Price of | 9 |
|-------------|-------------|---------------------|--------------------|-------------------|------------|---------------|---------------------|---------|--------------|-------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration Da | Expiration Date Amo |         | int of       | Derivative  | J |
| Security    | or Exercise |                     | any                | Code              | of         | (Month/Day/   | Year)               | Under   | lying        | Security    | , |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | Derivative | e             |                     | Secur   | ities        | (Instr. 5)  | ] |
|             | Derivative  |                     |                    |                   | Securities |               |                     | (Instr. | 3 and 4)     |             | ( |
|             | Security    |                     |                    |                   | Acquired   |               |                     |         |              |             | J |
|             | -           |                     |                    |                   | (A) or     |               |                     |         |              |             | J |
|             |             |                     |                    |                   | Disposed   |               |                     |         |              |             | - |
|             |             |                     |                    |                   | of (D)     |               |                     |         |              |             | ( |
|             |             |                     |                    |                   | (Instr. 3, |               |                     |         |              |             |   |
|             |             |                     |                    |                   | 4, and 5)  |               |                     |         |              |             |   |
|             |             |                     |                    |                   |            |               |                     |         | Amount       |             |   |
|             |             |                     |                    |                   |            |               |                     |         | Amount       |             |   |
|             |             |                     |                    |                   |            | Date          | Expiration          | Title   | or<br>Number |             |   |
|             |             |                     |                    |                   |            | Exercisable   | Date                | Title   | of           |             |   |
|             |             |                     |                    | C-1- 1            | 7 (A) (D)  |               |                     |         |              |             |   |
|             |             |                     |                    | Code V            | (A) (D)    |               |                     |         | Shares       |             |   |

## **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Gerspach John C C/O CORPORATE LAW DEP'T, CITIGROUP INC. 601 LEXINGTON AVENUE 19TH FLOOR NEW YORK, NY 10022

Chief Financial Officer

9. Nt Deriv Secu Bene Own Follo Repo Trans (Insti

# **Signatures**

John C. Gerspach by Joseph B. Wollard, Attorney-in-Fact

01/23/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Withholding of shares of common stock to satisfy tax withholding obligations in connection with the vesting of previously awarded stock.
- On August 5, 2013 the Reporting Person transferred 40,000 shares to a Grantor Retained Annuity Trust ("GRAT"), of which he is the **(2)**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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