

Dolan James J.  
Form 3  
May 08, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

^ Dolan James J.  
(Last) (First) (Middle)

ONE OXFORD CENTRE,^ 301  
GRANT STREET, SUITE 2700

(Street)

PITTSBURGH,^ PA^ 15219

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
05/08/2013

3. Issuer Name and Ticker or Trading Symbol  
TriState Capital Holdings, Inc. [TSC]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer  Other  
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

COMMON STOCK

125

D

^

COMMON STOCK

125,000 <sup>(1)</sup>

D

^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Edgar Filing: Dolan James J. - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
STOCK OPTIONS (RIGHT TO BUY)	07/22/2009 <sup>(2)</sup>	01/22/2017	COMMON STOCK	5,000	\$ 10	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/18/2010 <sup>(3)</sup>	12/18/2017	COMMON STOCK	5,000	\$ 10	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/18/2011 <sup>(4)</sup>	12/18/2018	COMMON STOCK	6,000	\$ 12	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/15/2012 <sup>(5)</sup>	12/15/2019	COMMON STOCK	6,000	\$ 8.75	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/30/2013 <sup>(6)</sup>	12/31/2020	COMMON STOCK	6,000	\$ 8	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/30/2014 <sup>(7)</sup>	12/31/2021	COMMON STOCK	6,000	\$ 9.32	D	Â
STOCK OPTIONS (RIGHT TO BUY)	06/30/2015 <sup>(8)</sup>	12/31/2022	COMMON STOCK	6,000	\$ 10.25	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Dolan James J. ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219	Â X	Â	Â	Â

## Signatures

/s/ Fenimore, Kay, Harrison & Ford, LLP by Brent Standefer, Jr.,  
Attorney-in-fact

05/08/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly of record by the Reporting Person and his spouse.
- (2) 2,500 of these options vested and became exercisable on or about 07/22/2009, and the remaining 2,500 options vested and became exercisable on 01/22/2012.
- (3) 2,500 of these options vested and became exercisable on or about 06/18/2010, and the remaining 2,500 options vested and became exercisable on 12/18/2012.
- (4) 3,000 of these options vested and became exercisable on 06/18/2011, and the remaining 3,000 options will vest and become exercisable on 12/18/2013.

### Edgar Filing: Dolan James J. - Form 3

- (5) 3,000 of these options vested and became exercisable on 06/15/2012, and the remaining 3,000 options will vest and become exercisable on 12/15/2014.
- (6) 3,000 of these options will vest and become exercisable on or about 06/30/2013, and the remaining 3,000 options will vest and become exercisable on 12/31/2015.
- (7) 3,000 of these options will vest and become exercisable on or about 6/30/2014, and the remaining 3,000 options will vest and become exercisable on 12/31/2016.
- (8) 3,000 of these options will vest and become exercisable on or about 6/30/2015, and the remaining 3,000 options will vest and become exercisable on 12/31/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.