

Chaganti Raju S.K.  
Form 3  
April 04, 2013

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name <b>and</b> Ticker or Trading Symbol	
Â Chaganti Raju S.K.		(Month/Day/Year)	CANCER GENETICS, INC [CGIX]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
201 ROUTE 17 NORTH,Â 2ND FLOOR			(Check all applicable)	
(Street)			<input checked="" type="checkbox"/> Director	<input type="checkbox"/> 10% Owner
			<input type="checkbox"/> Officer	<input type="checkbox"/> Other
RUTHERFORD,Â NJÂ 07070			(give title below)	(specify below)
(City)	(State)	(Zip)	6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input checked="" type="checkbox"/> Form filed by One Reporting Person	
			<input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$0.0001 per share	183,977	D	Â
Common Stock, par value \$0.0001 per share	60,000	I	By limited liability company
Common Stock, par value \$0.0001 per share	97,826	I	By spouse
Common Stock, par value \$0.0001 per share	30,000	I	By Seeta R. Chaganti 2011 Grantor Retained Annuity Trust#1
Common Stock, par value \$0.0001 per share	33,494	I	By Seeta R. Chaganti 2011 Grantor Retained Annuity Trust#2
Common Stock, par value \$0.0001 per share	20,000	I	By Raju Chaganti 2011 Grantor Retained Annuity Trust

## Edgar Filing: Chaganti Raju S.K. - Form 3

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Option (right to buy)	Â (1)	03/31/2019	Common Stock, par value \$0.0001 per share	100,000	\$ 4	D	Â
Stock Option (right to buy)	Â (2)	09/14/2020	Common Stock, par value \$0.0001 per share	36,000	\$ (2)	D	Â
Convertible Note	Â (3)	Â (3)	Common Stock, par value \$0.0001 per share	(3)	\$ (3)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Chaganti Raju S.K. 201 ROUTE 17 NORTH 2ND FLOOR RUTHERFORD,Â NJÂ 07070	Â X	Â	Â	Â

## Signatures

/s/ Raju S.K.  
Chaganti

04/04/2013

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This option was fully vested and exercisable on April 1, 2009.

(2) This option is currently vested and exercisable as to 30,000 shares. The remaining shares will vest and become exercisable in equal quarterly installments. The exercise price will be equal to the initial public offering price.

The reporting person agreed to convert \$100,000 of the outstanding principal balance under the note plus all accrued and unpaid interest  
(3) thereon into shares of common stock of Cancer Genetics, Inc. (the "Company") upon consummation of the Company's initial public offering at a conversion price equal to the initial public offering price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.