# Edgar Filing: Lynch Walter - Form 4/A

Lynch Walte	er										
Form 4/A February 19,	2013										
									OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANCE Washington, D.C. 20549					NGE C	OMMISSION	OMB Number:	3235-0287			
Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b).	6. Filed purs strue. Section 17(a	suant to S a) of the I	ENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES uant to Section 16(a) of the Securities Exchange Act of 1934, of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							January 31 Expires: 2005 Estimated average burden hours per response 0.5	
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Lynch Walter			2. Issuer Name <b>and</b> Ticker or Trading Symbol American Water Works Company, Inc. [AWK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 1025 LAUREL OAK ROAD			3. Date of Earliest Transaction (Month/Day/Year) 01/23/2013					Director 10% Owner X Officer (give title Other (specify below) below) President,Regulated Operations			
(Street) VOORHEES, NJ 08043			4. If Amendment, Date Original Filed(Month/Day/Year) 01/25/2013					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	a L. Nam D	· · · · · · · · · · · · · · · · · · ·	· · · · · ·	4:00 A 000		an Dan affaiall	O d	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any	1		quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect			
Common	01/23/2013	01/23/20	013	Code V M	Amount 19,249	(D) A	Price \$ 0	(Instr. 3 and 4) 38,190	D		
Stock Common Stock	01/23/2013	01/23/20		М	8,207	A	\$ 0	46,397	D		
Common Stock	01/23/2013	01/23/20	013	F	10,488	D	\$ 38.34	35,909 <u>(9)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) or Disposed of (D)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of S
Performance Stock Unit	<u>(1)</u>	01/23/2013	01/23/2013	А	8,249		(2)	12/31/2012	Common Stock	8,
Performance Stock Unit	<u>(3)</u>	01/23/2013	01/23/2013	М		19,249	(4)	12/31/2012	Common Stock	19
Performance Stock Unit	<u>(5)</u>	01/23/2013	01/23/2013	А	8,207		(6)	12/31/2012	Common Stock	8,
Performance Stock Unit	<u>(7)</u>	01/23/2013	01/23/2013	М		8,207	(8)	12/31/2012	Common Stock	8,

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
Toporting of their family frautoss	Director	10% Owner	Officer	Other			
Lynch Walter 1025 LAUREL OAK ROAD VOORHEES, NJ 08043			President,Regulated Operations				
Signatures							
/s/Karen K Pettiford-Wilson by	Power of A	ttorney previo	nelv				

/s/ Karen K. Pettiford-Wilson by Power of Attorney previously filed	02/18/2013
**Signature of Reporting Person	Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each performance stock unit represented a contingent right to receive one share of American Water Works Company, Inc's (the "Company") common stock, based on the Company's annual total stockholder return ("TSR") relative to the performance of the other

(1) companies in the Dow Jones U.S. Utility Index over a three-year performance period, beginning on January 1, 2010 and ending December 31, 2012

Represents the above-target earned portion of the TSR performance stock units granted in 2010, which was based on the 20-day average (2) stock price at the beginning of the performance period and at the end of the three year performance period (20 trading days before

12/31/09 and 20 trading days before 12/31/12).

(3)

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Each performance stock unit represented a contingent right to receive one share of American Water Works Company, Inc's (the "Company") common stock, based on the Company's annual total stockholder return ("TSR") relative to the performance of the other companies in the Dow Jones U.S. Utility Index over a three-year performance period, beginning on January 1, 2010 and ending December 31, 2012.

- (4) Represents the earned portion of the TSR performance stock units granted in 2010. The number of TSR performance stock units earned was 175 percent of the target.
- (5) Each performance stock unit represents a contingent right to receive one share of American Water Works Company, Inc's (the "Company") common stock, based on the Company's specified internal performance measures over a three-year performance period.
- (6) Represents the earned portion of the internal performance measure stock units granted in 2010. The number of internal performance stock units earned was 175 percent of the target.
- (7) Each performance stock unit represents a contingent right to receive one share of American Water Works Company, Inc's (the "Company") common stock, based on the Company's specified internal performance measures over a three-year performance period.
- (8) Represents the earned portion of the internal performance measure stock units granted in 2010. The number of internal performance stock units earned was 175 percent of the target.
- (9) Includes shares (4,536) acquired through the ESPP to date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.