Edgar Filing: Schutz Pamela S - Form 4

Schutz Pamela S										
Form 4	<u>_</u>									
February 17, 200										
FORM 4	UNITED	STATES	SECU	RITIES	AND EX	CHANGE			PPROVA	۸L
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									3235	-0287
Check this box									Janua	-
if no longer subject to Section 16. Form 4 or Form 5				SECU	RITIES	WNERSHIP OF	Estimated burden hou	Expires: 20 Estimated average burden hours per response (
obligations may continue. <i>See</i> Instruction 1(b).	Section 17(a) of the I	Public U	Jtility Ho	lding Co		nge Act of 1934, of 1935 or Sectio 940	on		
(Print or Type Respo	nses)									
1. Name and Address of Reporting Person <u>*</u> Schutz Pamela S			2. Issuer Name and Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
		GENW	/ORTH F]	FINANCI	AL INC	(Check all applicable)				
(Last)	3. Date of Earliest Transaction (Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify					
C/O GENWORT INC., 6620 WES			02/12/2	-			below) EXECUTIVE	below) VICE PRES - G	ENWOR	ГН
(4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)				
RICHMOND, V	A 23230		× ×	2	,		_X_ Form filed by	One Reporting P More than One R		
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of. or Beneficia	llv Owne	d
	nsaction Date 2A. Deeme h/Day/Year) Execution 1 any (Month/Da		Date, if TransactionAcquired (A) Code Disposed of (A) Ny/Year) (Instr. 8) (Instr. 3, 4 and			ties (A) or of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect	e of al iip
				Code V	Amount	or (D) Price	(Instr. 3 and 4)			
Reminder: Report or	n a separate line	for each cla	ass of sec	urities bene	eficially ow	ned directly	or indirectly.			
					inforr requi	nation con red to resp ays a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tabl					sposed of, or convertible	Beneficially Owned securities)	1		

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	iorDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	B) A D (1	Securities Acquired (A Disposed of Instr. 3, 4, 5)	f (D)	(Month/Day/	Year)	(Instr. 3 and	4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Settled SARs	\$ 2.46	02/12/2009		А	1	112,000		<u>(1)</u>	02/12/2019	Class A Common Stock	112,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
Schutz Pamela S C/O GENWORTH FINANCIAL, INC. 6620 WEST BROAD STREET RICHMOND, VA 23230			EXECUTIVE VICE PRES - GENWORTH			
Signatures						
/s/ Richard J. Oelhafen, Jr., by power of attorney		02/17/2	2009			

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vests annually in one-third increments beginning on 2/12/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.