NYSE Group, Inc. Form 4 February 09, 2007

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Putnam Gerald D

2. Issuer Name and Ticker or Trading

**OMB APPROVAL** 

OMB Number:

5. Relationship of Reporting Person(s) to

3235-0287 January 31,

0.5

Expires:

2005 Estimated average burden hours per

response...

Putnam Geraid	D		Symbol NYSE Group, Inc. [NYX]	188UC1			
(Last)	(Last) (First) (Middle)		3. Date of Earliest Transaction	(Check all applicable)			
			(Month/Day/Year)	Director 10% Owner			
C/O NYSE GR	OUP, INC.,	11	02/07/2007	_X_ Officer (give title Other (specify			
WALL STREE	Т			below) below) President and Co-COO			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
NEW YORK, N	NY 10005			_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State) (	Zip) Table	e I - Non-D	erivative :	Secur	ities Acqı	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	02/07/2007			100 (1)	Ì		780,307	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007		S	400 (1)	D	\$ 96.96	779,907	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007		S	100 (1)	D	\$ 96.99	779,807	I	See Footnote (2)

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Common Stock, par value \$0.01 per share	02/07/2007	S	200 <u>(1)</u> D	\$ 96.98	779,607	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 96.92	779,507	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.91	779,407	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.76	779,307	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	200 (1) D	\$ 97.66	779,107	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.67	779,007	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.87	778,907	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.83	778,807	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.8	778,707	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	300 <u>(1)</u> D	\$ 97.71	778,407	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.75	778,307	I	See Footnote (2)
	02/07/2007	S	100 (1) D		778,207	I	

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Common Stock, par value \$0.01 per share				\$ 97.82			See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.62	778,107	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.53	778,007	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.5	777,907	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.16	777,807	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	400 <u>(1)</u> D	\$ 97.12	777,407	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	550 (1) D	\$ 97.15	776,857	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	300 <u>(1)</u> D	\$ 97.26	776,557	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	100 <u>(1)</u> D	\$ 97.39	776,457	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	400 (1) D	\$ 97.4	776,057	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	300 (1) D	\$ 97.25	775,757	I	See Footnote (2)
	02/07/2007	S	100 (1) D		775,657	I	

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Common Stock, par value \$0.01 per share				\$ 97.48			See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	100 (1) D	\$ 97.45	775,557	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	200 (1) D	\$ 97.35	775,357	I	See Footnote (2)
Common Stock, par value \$0.01 per share	02/07/2007	S	600 (1) D	\$ 97.3	774,757	I	See Footnote
Common Stock, par value \$0.01 per share	02/07/2007	S	400 (1) D	\$ 97.27	774,357	I	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. etionNumber of Securit Acquire (A) or Dispose of (D) (Instr. 3, 4, and 5	(Month/Day ive ies ed ed	Date	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)
				Code	V (A) (I	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

(9-02)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Putnam Gerald D C/O NYSE GROUP, INC.

President and Co-COO

11 WALL STREET NEW YORK, NY 10005

# **Signatures**

Cornelius M. Courtney under POA dated 4/27/2006

02/09/2007

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares owned by Leiscester Enterprises LLC.
- (2) Mr. Putnam owns a controlling interest in Leiscester Enterprises LLC.

#### **Remarks:**

This is report 1 of 3 for transactions effected on February 7, 2007.

The sales of shares reported on this Form 4 were made pursuant to a selling plan, dated November 30, 2006, intended to comp Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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