SMART & FINAL INC/DE

Form 4

March 07, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average

OMB APPROVAL

res

burden hours per response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ROEDER ROSS E			2. Issuer Name and Ticker or Trading Symbol SMART & FINAL INC/DE [SMF]					-8	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First) (Middle)	3. Date of Earliest Transaction				1	(Check all applicable)			
600 CITADEL DR.			(Month/Day/Year) 03/03/2005						_X_ Director 10% Owner Officer (give title Other (specify below)		
COMMER		4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										lv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	emed 3. 4. Securities Acquired on Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (A) or		quired of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect			
Common Stock, par value \$.01 per share	03/03/2005			A		43,750	A	\$ 4.28	197,500	D	
Common Stock, par value \$.01 per share	03/03/2005			A		12,500	A	\$ 9.25	210,000	D	
Common Stock, par value \$.01 per share	03/03/2005			A		25,000	A	\$ 6.5	235,000	D	

Edgar Filing: SMART & FINAL INC/DE - Form 4

Common							
Stock, par	03/03/2005	F	45,250	D	\$	100.750	D
value \$.01	03/03/2003	Г	<u>(7)</u>	ט	13.65	189,750	ע
per share							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Options	\$ 9.25						12/31/1999(1)	05/04/2009	Common	142,50
Stock Options	\$ 4.28	03/03/2005		M		43,750	02/19/2004(2)	02/19/2013	Common	43,75
Stock Options	\$ 12.89						02/17/2005(3)	02/17/2014	Common	100,00
Stock Options	\$ 9.25	03/03/2005		M		12,500	05/04/2000(4)	05/04/2009	Common	12,50
Stock Options	\$ 10.132						09/27/2003(5)	09/27/2011	Common	300,00
Stock Options	\$ 6.5	03/03/2005		M		25,000	09/16/2004(6)	09/16/2013	Common	25,00

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ROEDER ROSS E 600 CITADEL DR. COMMERCE, CA 91739	X							

Reporting Owners 2

Signatures

Ross E. Roeder 03/07/2005

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previously reported fully vested options. Options granted 5/4/99 one-third vested on Dec. 31 in each of 1999, 2000 and 2001.
- (2) Previously reported options. One-third of the options became exercisable on Feb. 19 in each of 2004 and 2005 and one-third will become exercisable on Feb. 19, 2006.
- (3) Previously reported options. One-third of the options became exercisable on February 17, 2005 and one-third will become exercisable on February 17 in each of 2006 and 2007.
- (4) Previously reported fully vested options. 0 remaining in grant.
- (5) Previously reported options. One-third of the options became exercisable on September 27 in each of 2003 and 2004, one-third will become exercisable on Sept. 27, 2005.
- (6) Previously reported options. One-third of the options became exercisable on Sept. 16, 2004 and one-third will become exercisable on Sept. 16 in each of 2005 & 2006.
- 34,750 shares of the Company's stock previously held by Mr. Roeder as well as 10,500 shares of the Company's common stock resulting from Mr. Roeder's options exercise were returned to the Company and accepted as payment of options exercise price and applicable payroll taxes due in connection with the transaction reported hereon.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3