ALVARADO DONALD G

Form 4/A April 03, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5

Name and Address of Reporting Person* Alvarado, Donald G.	Issuer Name and Ticker or Trading Symbol	Statement for (Month/Day/Year	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 600 Citadel Drive	Smart & Final Inc. SMF	02/21/2003	_ Director _ 10% Owner X Officer (give title below) _ Other (specify below)			
(Street) Commerce, CA 90040	3. I.R.S. Identification Number of Reporting Person, if an entity	5. If Amendment, Date of Original (Month/Day/Year)	Description Senior Vice President, General Counsel.			
(City) (State) (Zip)	(voluntary)	02/21/2003	7. Individual or Joint/Group Filing (Check Applicable Line)			
			X Form filed by One Reporting Person Form filed by More than One Reporting Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	e, if Transaction (A			ies Acc posed 3, 4, an	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)	
Common Stock, par value \$.01 per share	02/19/2003		A		10,000	A	\$	20,789(1)	D		
Common Stock, par value \$.01 per share							\$	14,000(2)	ı		

Edgar Filing: ALVARADO DONALD G - Form 4/A

				Table	e II -				ired, Dispos options, co			Owned	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		5. Number of nDerivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Number Derivativ Securitie Benefici Owned Followin Reporte Transao (Instr.4)
				Code	V	A	D	DE	ED	Title	Amount or Number of Shares		
Stock Options	\$4.28	02/19/2003		А		20,000		6,667 on 2/19/05, 6,667 on 2/19/06 and 6,666 on 2/19/97	2/19/2013	Common	20,000	\$4.28	102,1

Explanation of Responses:

(1) Include 6,000 vested smart shares and 10,076 restricted smart shares. (2) Vested & deferred smart shares, held in the Supplemental Deferred Compensation Plan, 2 separate grants 1st grant of 4,000 shares and second grant of 10,000 shares.

By: Date:

/s/ Donald G. Alvarado

03/28/2003

Senior Vice President, Secretary and General Counsel

** Signature of Reporting Person

SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.