ROEDER ROSS E

Form 4/A April 03, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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2. Issuer Name 1. Name and Address of Reporting Person* 4. Statement for 6. Relationship of Reporting Person(s) Roeder, Ross and Ticker or Trading (Month/Day/Year to Issuer Symbol (Check all applicable) 02/21/2003 (Last) (First) Smart & Final Inc. X Director _ 10% Owner (Middle) Officer (give title below) (specify below) SMF _ Other 600 Citadel Dr. 5. If Amendment, 3. I.R.S. Identification (Street) Date of Original **Director/CEO** Description Number of Reporting CA 91739 Commerce, (Month/Day/Year) Person, if an entity (voluntary) 7. Individual or Joint/Group 02/21/2003 (City) (State) Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)		
Common Stock, par value \$.01 per share	02/19/2003		Α		60,000	A	\$	132,896 (1)	D			
Common Stock, par value \$.01 per share							\$	17,031 (2)	ı			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transactio Code (Instr.8)		5. Number of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)	9. Num Deri Seci Ben Owr Folld Rep Trar (Inst
				Code	V	А	D	DE	ED	Title	Amount or Number of Shares		
Stock Options	\$4.28	02/19/2003		А		131,250		43,750 on 2/19/2005, 43,750 on 2/19/2006 and 43,750 on 2/19/2007	2/19/2003	Common	131,250	\$4.28	71

Explanation of Responses:

- (1) Includes 20,000 vested smart shares and 64,421 restricted smart shares.
- (2) 12,931 represents Mr. Roeder's indirect pecuniary interest in shares held by the Ross E. Roeder retirement Account and 4,100 represents Mr. Roeder's indirect pecuniary interest in shares held by Marketing Design Defined Benefit Plan.

By: Date: /s/ Ross E. Roeder 03/28/2003

CEO

** Signature of Reporting Person SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

 $^{^{\}star}$ If the form is filed by more than one reporting person, see Instruction 4(b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).