### ROEDER ROSS E

Form 4

February 21, 2003

SEC Form 4

## FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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1. Name and Address of Reporting Person\* 2. Issuer Name 4. Statement for 6. Relationship of Reporting Person(s) Roeder, Ross and Ticker or Trading (Month/Day/Year to Issuer Symbol (Check all applicable) 02/19/2003 (Last) (First) X Director \_ 10% Owner Smart & Final Inc. (Middle) Officer (give title below) (specify below) SMF \_ Other 600 Citadel Dr. 5. If Amendment, 3. I.R.S. Identification (Street) Date of Original Description **Director/CEO** Number of Reporting Commerce, CA 91739 (Month/Day/Year) Person, if an entity (voluntary) 7. Individual or Joint/Group (City) (State) Filing (Check Applicable Line) (Zip) X Form filed by One Reporting Person Form filed by More than One Reporting Person

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |   |   |   |     |       |  |   |  |  |
|--|---|---|---|---|---|-----|-------|--|---|--|--|
| 1. Title of<br>Security<br>(Instr.<br>3)   | 2.Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr.<br>8) |   | 4. Securities Acquired h(A) or Disposed Of (D) (Instr. 3, 4, and 5) |     |       | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Owner-<br>ship<br>Form:<br>Direct<br>(D) | 7. Nature of Indirect Beneficial Ownership |  |
|  |   |   | Code                                      | V | Amount  | A/D | Price | Reported<br>Transaction(s)<br>(Instr. 3 and<br>4)                | or<br>Indirect<br>(I)<br>(Instr.<br>4)      | (Instr. 4)                                 |  |
| Common   | 02/19/2003                                |   | Α   |   | 60,000  | Α   | \$    | 84,421(1)  | D   | None                                       |  |

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|   |  |   |   | Tat                                   | ole I |   |   | urities Acquire<br>s, warrants, op   |           |   |  | wned  |  |
|---|--|---|---|---------------------------------------|-------|---|---|--|-----------|---|--|---|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3.<br>Transaction<br>Date<br>(Month/<br>Day/<br>Year) | 3A. Deemed Execution Date, if any  (Month/ Day/ Year) | 4.<br>Transactio<br>Code<br>(Instr.8) |       | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>Of (D)<br>(Instr. 3, 4<br>and 5) |   | 6. Date<br>Exercisable(DE) and<br>Expiration Date(ED)<br>(Month/Day/Year)          |           | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Price<br>of<br>Derivative<br>Security<br>(Instr.5) | 9. Num<br>Deri<br>Seci<br>Ben<br>Owr<br>Folk<br>Rep<br>Trar<br>(Inst |
|   |  |   |   | Code                                  | >     | A   | D | DE   | ED        | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |
| Stock<br>Option                                     | \$4.28   | 02/19/2003  |   | А                                     |       | 131,250   |   | 43,750 on<br>2/19/2005,<br>43,750 on<br>2/19/2006<br>and 43,750<br>on<br>2/19/2007 | 2/19/2013 | Common  | 131,250                                | \$4.28  | 71   |

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#### **Explanation of Responses:**

(1) 64,421 restricted stock(smart shares), 20,000 vested smart shares.

By: Date:

<u>/s/ Ross E. Roeder</u> <u>02/21/2003</u>

Chief Executive Officer

\*\* Signature of Reporting Person SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.