ORNSTEIN JOEL ANDRE

Form 4

February 20, 2003

SEC Form 4

FORM 4

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. 0.5

| 1. Name and A Ornstein, Joe | ddress of Reporting Person I- Andre |
|-----------------------------|---|
| (Last) | (First) (Middle) rive |
| | (0) |

(Street)

Commerce, CA 91739

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Smart & Final Inc. SMF

3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) 4. Statement for (Month/Day/Year

02/18/2003

5. If Amendment, Date of Original (Month/Day/Year) 6. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X Director _ 10% Owner_ Officer (give title below) _ Other(specify below)

Description <u>Director</u>

- 7. Individual or Joint/Group Filing (Check Applicable Line)
- X Form filed by One Reporting Person
- _ Form filed by More than One Reporting Person

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
|--|---|---|------------------------------|---|---|-----|-------|--|---|--|--|
| 1. Title of Security (Instr. 3) | 2.Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Cod (Inst | е | 4. Securities Acquired n(A) or Disposed Of (D) (Instr. 3, 4, and 5) | | | 5. Amount of Securities Beneficially Owned Following | Securities ship Beneficially Form: Owned Direct Following (D) | 7. Nature of Indirect Beneficial Ownership | |
| | | | Code | V | Amount | A/D | Price | Reported Transaction(s) (Instr. 3 and 4) | or Indirect (I) (Instr. 4) | (Instr. 4) | |

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| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | |
|--|--|---|--|------|------------------------|-------|---|---|---|--------|---|--|------|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/ Day/ Year) | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | Code | Transaction Derivative | | 6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr.5) | 9. Numbe Derivar Securit Benefic Owned Follow Report Transa (Instr.4 | |
| | | | | Code | V | A | D | DE | ED | Title | Amount or Number of Shares | | |
| Stock Options | \$4.40 | 02/18/2003 | | A | | 8,000 | | 2,667 on 2/18/2005, 2,667 on 2/18/2006, 2,666 on 2/18/2007 | 2/18/2013 | Common | 8,000 | \$4.40 | 34,5 |

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Explanation of Responses:

| By: | Date: |
|----------------------------------|-------------------|
| /s/ Joel-Andre Ornstein | <u>02/19/2003</u> |
| ** Signature of Reporting Person | SEC 1474 (9-02) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.