# PURCELL PHILIP J

Form 4 January 09, 2003 SEC Form 4

FORM 4	UNIT	ED STATES SECURI COMMIS	OMB APPROVAL OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5			
[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	Filed pursu	Washington, D TEMENT OF CHANGES IN ant to Section 16(a) of the Sect 17(a) of the Pu npany Act of 1935 or Section 30 1940				
1. Name and Address of Repor Purcell, Philip J. (Last) (First) (Middle) Morgan Stanley 1585 Broadway	ting Person*	2. Issuer Name and Ticker or Trading Symbol Morgan Stanley MWD	<ul> <li>4. Statement for Month/Day/Year</li> <li>1/7/2003</li> <li>5. If Amendment.</li> </ul>	to Issue <u>X</u> Direct	(Check all applicable) or _ 10% Owner r (give title below) _ Other	
New York, NY (Street) (City) (State) (Zip)		3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	Date of Original (Month/Day/Year)	7. Indivi Filing X Forn Person Form	tion Chairman of the and CEO Chairman of the dual or Joint/Group (Check Applicable Line) n filed by One Reporting filed by More than One ng Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)		4. Securit n(A) or Dis (Instr. 3	posed	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial Ownership			
			Code	V	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	(Instr. 4)			
Common Stock	12/23/2002		G	v	50,000	D			D				
Common Stock	1/3/2003		G	v	9,502	D			D				
Common Stock	1/7/2003		м		146,154	А	\$21.53		D				
Common Stock	1/7/2003		F		97,822	D	\$42.91	3,018,594	D				
Common Stock								14,164	I	By 401(k) Plan/ESOP			

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Common Stock Common Stock	1/3/2003			G Table	V e II -	De					5,506 45,362 uired, Disp , options, c			eficial	hild pouse		
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deri- vative Security	sion or Transactio Exercise Date Price of Deri- (Month/ vative Day/	3A. Deemed Executio Date, if any (Month/ Day/ Year)	on Co	4.		5. Number of Derivative Securities Acquired (A)		Exercisable(DE) and		Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr.5)		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction( (Instr.4)		
				Co	ode	v	А	D	)	DE	ED	Title	Nu	nount or imber of nares			
Restoration Option (Right to Buy)	\$21.53	1/7/2003		r	и			146,	154	(1)	02/21/03	Commo Stock	14	6,154			146,154

## **Explanation of Responses:**

1. Presently exercisable.

#### By:

/s/ CRHerzer, Attorney-in-Fact

\*\* Signature of Reporting Person

Date

### SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.