### Edgar Filing: MCKIM ALAN S - Form 4

| MCKIM ALA<br>Form 4<br>April 02, 201<br><b>FORM</b><br>Check this<br>if no long<br>subject to<br>Section 16<br>Form 4 or<br>Form 5<br>obligation<br>may conti<br><i>See</i> Instru<br>1(b). | 9<br><b>4</b> UNITED ST<br>s box<br>er STATEME<br>5.<br>Filed pursua<br>s nue.<br>Section 17(a) | Was <b>NT OF CHAN</b> ant to Section 10 | hington,<br>GES IN F<br>SECURI<br>5(a) of the<br>ility Hold | D.C. 205<br>BENEFI<br>(TIES<br>Securiti<br>ing Com | 5 <b>49</b><br>CIAI<br>es Ex<br>pany   | L <b>OW</b><br>tchang<br>Act o | ge Act of 1934,<br>f 1935 or Sectio  | OMB<br>Number:<br>Expires:<br>Estimated a<br>burden hou<br>response             | irs per   |  |
|---|---|---|---|--|--|--------------------------------|--|---|---|--|
| (Print or Type R  | esponses)   |   |   |  |  |                                |  |   |   |  |
| MCKIM ALAN S Symbol   |   |   | er Name <b>and</b> Ticker or Trading<br>N HARBORS INC [CLH] |  |  |                                | 5. Relationship of Reporting Person(s) to<br>Issuer  |   |   |  |
| (Last)<br>C/O CLEAN<br>LONGWAT  | f Earliest Transaction<br>Day/Year)<br>019  |   |   |  | (Check all applicable)<br>X Director 10% Owner<br>X Officer (give title Other (specify<br>below)<br>Chrmn.of Bd, Pres. & CEO |                                |  |   |   |  |
|   |   |   | onth/Day/Year) Applicable Line<br>_X_ Form filed            |  |  |                                |  | Joint/Group Filing(Check<br>y One Reporting Person<br>y More than One Reporting |   |  |
| (City)  | (State) (Zij  | <sup>p)</sup> Table                     | e I - Non-Do  | erivative S  | ecuri  | ties Ac                        | quired, Disposed o   | f, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)  |   |   | 3.<br>Transactic<br>Code<br>(Instr. 8)<br>Code V            | Disposed<br>(Instr. 3,                             | (A) o<br>of (D   | )                              | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)            | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock (1)   | 04/01/2019  |   | F   | 1,470  | D  | \$ 72                          | 3,713,540  | D   |   |  |
| Common<br>Stock   |   |   |   |  |  |                                | 107,268  | I   | McKim<br>2018<br>Annuity<br>Trust                                 |  |
| Common<br>Stock   |   |   |   |  |  |                                | 200,858  | I   | McKim<br>2019<br>Annuity<br>Trust                                 |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title     | e and          | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|--------------|----------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration Da | ate         | Amour        | nt of          | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underl       | ying           | Security    | Secu   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securit      | ties           | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr.      | 3 and 4)       |             | Owne   |
|             | Security    |                     |                    |            | Acquired   |               |             |              |                |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |              |                |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |              |                |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |              |                |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |              |                |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |              |                |             |        |
|             |             |                     |                    |            |            |               |             |              | A              |             |        |
|             |             |                     |                    |            |            |               |             |              | Amount         |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  |              | or<br>Norsehau |             |        |
|             |             |                     |                    |            |            | Exercisable   | ole Date    | Title Number |                |             |        |
|             |             |                     |                    | C I V      | (A) (D)    |               |             |              | of             |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |              | Shares         |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                |       |  |  |  |
|--|---------------|-----------|--------------------------------|-------|--|--|--|
|  | Director      | 10% Owner | Officer                        | Other |  |  |  |
| MCKIM ALAN S<br>C/O CLEAN HARBORS, INC.<br>42 LONGWATER DRIVE<br>NORWELL, MA 02061 | Х             |           | Chrmn.of<br>Bd, Pres. &<br>CEO |       |  |  |  |
| Signatures   |               |           |                                |       |  |  |  |

| Alan S. McKim          | 04/02/2019 |
|------------------------|------------|
| <u>**</u> Signature of | Date       |

Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Surrender of shares for tax liability

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.