

Chondros Dimitrios
Form 3
May 07, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Chondros Dimitrios

(Last) (First) (Middle)

C/O HALOZYME
THERAPEUTICS,
INC.,Â 11388 SORRENTO
VALLEY ROAD

(Street)

SAN DIEGO,Â CAÂ 92121

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

05/02/2018

3. Issuer Name and Ticker or Trading Symbol

HALOZYME THERAPEUTICS INC [HALO]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other

(give title below) (specify below)

SVP, Chief Medical Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person

____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

34,076 ⁽¹⁾

D Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative
Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial
Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Option to Purchase Common Stock	08/03/2016 ⁽²⁾	08/03/2025	Common Stock	100,000	\$ 23.03	D	Â
Option to Purchase Common Stock	02/03/2017 ⁽²⁾	02/03/2026	Common Stock	41,841	\$ 8.11	D	Â
Option to Purchase Common Stock	02/22/2018 ⁽²⁾	02/22/2027	Common Stock	24,861	\$ 12.07	D	Â
Option to Purchase Common Stock	06/01/2018 ⁽³⁾	06/01/2027	Common Stock	13,218	\$ 12.24	D	Â
Option to Purchase Common Stock	02/14/2019 ⁽³⁾	02/14/2028	Common Stock	47,635	\$ 18.41	D	Â
Restricted Stock Units	02/22/2018 ⁽⁴⁾	Â ⁽⁴⁾	Common Stock	11,651	\$ ⁽⁴⁾	D	Â
Restricted Stock Units	02/14/2019 ⁽⁵⁾	Â ⁽⁵⁾	Common Stock	8,170	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	02/14/2019 ⁽⁵⁾	Â ⁽⁵⁾	Common Stock	27,160	\$ ⁽⁵⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Chondros Dimitrios C/O HALOZYME THERAPEUTICS, INC. 11388 SORRENTO VALLEY ROAD SAN DIEGO, CA 92121	Â	Â	Â SVP, Chief Medical Officer	Â

Signatures

/s/ James R. Oehler as attorney-in-fact for Dimitrios Chondros

05/07/2018

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 7,500 shares of restricted stock that vest in equal pro rata amounts on August 3, 2018 and August 3, 2019. Also includes 12,330 shares of restricted stock that vest in equal pro rata amounts on February 3, 2019 and February 3, 2020.
- (2) This option vested one-fourth on such date and vests 1/48th monthly thereafter.
- (3) This option vests one-fourth on such date and then 1/48th monthly thereafter.
- (4)

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This award vested one-fourth on the first anniversary of the grant date and then one-fourth on each anniversary date thereafter. Represents a restricted stock unit award with no exercise price.

- (5) This award vests one-fourth on the first anniversary of the grant date and then one-fourth on each anniversary date thereafter. Represents a restricted stock unit award with no exercise price.

Â

Remarks:

ExhibitÂ List:Â Â ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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