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WHITELAW PAUL MILTON

Form 3

March 20, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

3235-0104

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SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

WHITELAW PAUL

MILTON

(Month/Day/Year)

03/14/2018

(Last) (First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Willdan Group, Inc. [WLDN]

Statement

Person(s) to Issuer

4. Relationship of Reporting

(Check all applicable)

(give title below) (specify below)

SVP, Business Development

5. If Amendment, Date Original

Filed(Month/Day/Year)

2401 E. KATELLA **AVE. SUITE 300**

(Street)

Director X_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

ANAHEIM, CAÂ 92806

(State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

Beneficially Owned

(Instr. 4)

 $7,257 \stackrel{(1)}{=}$

2. Amount of Securities

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form: Direct (D) (Instr. 5)

Â

or Indirect (I)

(Instr. 5) D

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and

Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

(Instr. 4)

4. 5. Conversion or Exercise

Price of

Derivative

Ownership Form of Derivative

Security:

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(2)	03/26/2022	Common Stock	1,000	\$ 3.84	D	Â
Stock Option (Right to Buy)	(3)	05/05/2026	Common Stock	15,000	\$ 10.81	D	Â
Stock Option (Right to Buy)	(4)	11/02/2026	Common Stock	20,000	\$ 16.09	D	Â
Stock Option (Right to Buy)	(5)	03/08/2027	Common Stock	6,670	\$ 28.19	D	Â
Stock Option (Right to Buy)	(6)	10/31/2027	Common Stock	6,670	\$ 30.06	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
F-	Director	10% Owner	Officer	Other		
WHITELAW PAUL MILTON						
2401 E. KATELLA AVE	â	â	SVP, Business Development	â		
SUITE 300	А	Α	A SVP, Business Development	Α		
ANAHEIM, CA 92806						

Signatures

/s/ Stacy McLaughlin, Attorney-in-fact for Paul Milton Whitelaw

03/20/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes (i) 889 shares of restricted stock that vest in two substantially equal installments on each of March 8, 2019 and March 8, 2020 (1) and (ii) 1,333 shares of restricted stock that vest in three substantially equal installments on each of October 31, 2018, October 18, 2019 and October 18, 2020.
- (2) The option became exercisable in three substantially equal installments on each of March 26, 2013, March 26, 2014 and March 26, 2015.
- (3) The option is exercisable in three substantially equal installments. The first installment became exercisable on May 5, 2017, and the next two installments will become exercisable on each of May 5, 2018 and May 5, 2019.
- (4) The option is exercisable in three substantially equal installments. The first installment became exercisable on November 2, 2017, and the next two installments will become exercisable on each of November 2, 2018 and November 2, 2019.
- (5) The option is exercisable in three substantially equal installments. The first installment became exercisable on March 8, 2018, and the next two installments will become exercisable on each of March 8, 2019 and March 8, 2020.
- The option becomes exercisable in three substantially equal installments on each of October 31, 2018, October 31, 2019 and October 31, 2020.

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Remarks:

Reporting Owners 2

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Exhibit List - Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.