

CUBIC CORP /DE/
Form 4
November 19, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
ZABLE WALTER C

(Last) (First) (Middle)

9333 BALBOA AVENUE

(Street)

SAN DIEGO, CA 92123

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CUBIC CORP /DE/ [CUB]

3. Date of Earliest Transaction
(Month/Day/Year)
11/18/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Exec. Chairman of the Board

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/18/2015		J ⁽¹⁾		229,297	D	<u>11</u>	0	I	Zable Survivors Trust ⁽²⁾
Common Stock	11/18/2015		J ⁽¹⁾		187,370	D	<u>11</u>	0	I	Zable QTIP Marital Trust ⁽²⁾
Common Stock	11/18/2015		J ⁽¹⁾		32,593	D	<u>11</u>	0	I	Zable Reverse QTIP Marital Trust ⁽²⁾

Edgar Filing: CUBIC CORP /DE/ - Form 4

Common Stock	11/18/2015	J ⁽¹⁾	16,108	D	<u>(1)</u>	0	I	Zable Non QTIP Marital Trust ⁽²⁾
Common Stock	11/18/2015	J ⁽³⁾	958	D	<u>(3)</u>	0	D	
Common Stock	11/18/2015	J ⁽¹⁾⁽³⁾	168,681	A	<u>(1)</u> <u>(3)</u>	1,795,790	I	The Walter C. Zable Trust U/A/D 2/7/06 ⁽⁴⁾
Common Stock	11/18/2015	J ⁽¹⁾	24,351	A	<u>(1)</u>	24,351	I	Zable GST Trust ⁽⁵⁾
Common Stock						164,229	I	Trusts for Reporting Persons' Children ⁽⁶⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
X	Exec. Chairman of the Board

ZABLE WALTER C
9333 BALBOA AVENUE
SAN DIEGO, CA 92123

Signatures

Angela L. Hartley, Attorney-in-fact for Walter C.
Zable

11/19/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock held by Reporting Person as a co-trustee in a trust was distributed to Reporting Person's individual trust, where he is sole trustee.
- (2) The reported securities were owned by the named trusts of which the Reporting Person was a co-trustee. The Reporting Person disclaimed beneficial ownership of these securities except to the extent of his pecuniary interest therein.
- (3) Reflects a transfer of shares without consideration from the Reporting Person to The Walter C. Zable Trust U/A/D 2/7/06, for which the Reporting Person is trustee.
- (4) The Walter C. Zable Trust U/A/D 2/7/06, for which the Reporting Person is sole trustee.
- (5) Zable GST Trust FBO Walter C. Zable dtd 9/18/78 U/A and restated 6/12/07, for which the Reporting Person is sole trustee.
- (6) The reported securities are held in 3 trusts for the Reporting Person's children, for which the Reporting Person is trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.