

ETHAN ALLEN INTERIORS INC
Form 3
August 30, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

McKernan Anne
(Last) (First) (Middle)

ETHAN ALLEN DRIVE
(Street)

DANBURY, CT 06811

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
08/21/2013

3. Issuer Name and Ticker or Trading Symbol

ETHAN ALLEN INTERIORS INC [ETH]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer ____X____ Other
(give title below) (specify below)
Managing Director

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

380

I

Held with Spouse

Common Stock

123.7602

I

Held in 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Common Stock (Options to Purchase)	03/25/2005	03/25/2014	Common Stock (Options to Purchase)	600	\$ 41.35	D	Â
Common Stock (Options to Purchase)	05/27/2006	05/27/2015	Common Stock (Options to Purchase)	1,000	\$ 31.15	D	Â
Common Stock (Options to Purchase)	06/27/2007	06/27/2016	Common Stock (Options to Purchase)	500	\$ 36.56	D	Â
Common Stock (Options to Purchase)	11/05/2009	11/05/2018	Common Stock (Options to Purchase)	700	\$ 17.6	D	Â
Common Stock (Options to Purchase)	11/12/2010	11/12/2019	Common Stock (Options to Purchase)	1,000	\$ 11.74	D	Â
Common Stock (Options to Purchase)	02/08/2014	02/08/2023	Common Stock (Options to Purchase)	2,000	\$ 28.67	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McKernan Anne ETHAN ALLEN DRIVE DANBURY, CT 06811	Â	Â	Â	Managing Director

Signatures

Anne McKernan 08/30/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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