

WABCO Holdings Inc.
Form 4
August 05, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Michel Ulrich

(Last) (First) (Middle)

C/O WABCO HOLDINGS INC., ONE CENTENNIAL AVENUE

(Street)

PISCATAWAY, NJ 08855

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
WABCO Holdings Inc. [WBC]

3. Date of Earliest Transaction (Month/Day/Year)
08/01/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Senior Vice President and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	Amount	(A) or (D)	Price
Common Stock	08/01/2013		S		1,366	D		\$ 80.17 (1)
Common Stock	08/01/2013		M		1,367	A		\$ 46.87
Common Stock	08/01/2013		S		1,367	D		\$ 80.17 (1)
Common Stock	08/01/2013		M		7,622	A		\$ 46.87

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Common Stock	08/01/2013	S	7,622	D	\$ 80.03	26,950	D
Common Stock	08/01/2013	M	1,436	A	\$ 48.64	28,386	D
Common Stock	08/01/2013	S	1,436	D	\$ 80.17 <u>(1)</u>	26,950	D
Common Stock	08/01/2013	M	8,572	A	\$ 48.64	35,522	D
Common Stock	08/01/2013	S	8,572	D	\$ 80.02	26,950	D
Common Stock	08/01/2013	M	1,436	A	\$ 42.39	28,386	D
Common Stock	08/01/2013	S	1,436	D	\$ 80.17 <u>(1)</u>	26,950	D
Common Stock	08/01/2013	M	14,513	A	\$ 42.39	41,463	D
Common Stock	08/01/2013	S	14,313	D	\$ 80.04	27,150	D
Common Stock	08/01/2013	S	200	D	\$ 80.05	26,950	D
Common Stock	08/01/2013	M	1,436	A	\$ 42.39	28,386	D
Common Stock	08/01/2013	S	1,436	D	\$ 80.17 <u>(1)</u>	26,950	D
Common Stock	08/01/2013	M	4,077	A	\$ 42.39	31,027	D
Common Stock	08/01/2013	S	4,077	D	\$ 80.05	26,950	D
Common Stock	08/01/2013	M	1,436	A	\$ 27.37	28,386	D
Common Stock	08/01/2013	S	1,436	D	\$ 80.17 <u>(1)</u>	26,950	D
Common Stock	08/01/2013	M	1,000	A	\$ 27.37	27,950	D
Common Stock	08/01/2013	S	1,000	D	\$ 80.06	26,950	D
Common Stock	08/01/2013	M	1,366	A	\$ 59.26	28,316	D

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Common Stock	08/01/2013	S	1,366	D	\$ <u>(1)</u>	26,950	D
Common Stock	08/01/2013	M	5,751	A	\$ 59.26	32,701	D
Common Stock	08/01/2013	S	5,751	D	\$ <u>(2)</u>	26,950	D
Common Stock	08/01/2013	M	1,366	A	\$ 58.85	28,316	D
Common Stock	08/01/2013	S	1,366	D	\$ <u>(1)</u>	26,950	D
Common Stock	08/01/2013	M	2,592	A	\$ 58.85	29,542	D
Common Stock	08/01/2013	S	2,592	D	\$ 80.01	26,950	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 46.87	08/01/2013		M	1,367	<u>(3)</u> 02/05/2017	Common Stock	1,367
Stock Option (Right to Buy)	\$ 46.87	08/01/2013		M	7,622	<u>(3)</u> 02/05/2017	Common Stock	7,622

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Stock Option (Right to Buy)	\$ 48.64	08/01/2013	M	1,436	<u>(4)</u>	08/01/2017	Common Stock	1,436
Stock Option (Right to Buy)	\$ 48.64	08/01/2013	M	8,572	<u>(4)</u>	08/01/2017	Common Stock	8,572
Stock Option (Right to Buy)	\$ 42.39	08/01/2013	M	1,436	<u>(5)</u>	02/22/2018	Common Stock	1,436
Stock Option (Right to Buy)	\$ 42.39	08/01/2013	M	14,513	<u>(5)</u>	02/22/2018	Common Stock	14,513
Stock Option (Right to Buy)	\$ 42.39	08/01/2013	M	1,436	<u>(6)</u>	02/22/2018	Common Stock	1,436
Stock Option (Right to Buy)	\$ 42.39	08/01/2013	M	4,077	<u>(6)</u>	02/22/2018	Common Stock	4,077
Stock Option (Right to Buy)	\$ 27.37	08/01/2013	M	1,436	<u>(7)</u>	02/22/2020	Common Stock	1,436
Stock Option (Right to Buy)	\$ 27.37	08/01/2013	M	1,000	<u>(7)</u>	02/22/2020	Common Stock	1,000
Stock Option (Right to Buy)	\$ 59.26	08/01/2013	M	1,366	<u>(8)</u>	02/23/2021	Common Stock	1,366
Stock Option (Right to Buy)	\$ 59.26	08/01/2013	M	5,751	<u>(8)</u>	02/23/2021	Common Stock	5,751
Stock Option (Right to Buy)	\$ 58.85	08/01/2013	M	1,366	<u>(9)</u>	02/14/2022	Common Stock	1,366
	\$ 58.85	08/01/2013	M	2,592	<u>(9)</u>	02/14/2022		2,592

Stock
Option
(Right to
Buy)

Common
Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Michel Ulrich C/O WABCO HOLDINGS INC. ONE CENTENNIAL AVENUE PISCATAWAY, NJ 08855			Senior Vice President and CFO	

Signatures

/s/ Thomas P. Conaghan,
Attorney-in-Fact

08/05/2013

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transaction reported herein includes sales at a range of prices from \$80.11 to \$80.21. The reporting person undertakes to provide on request detailed breakouts in order that the SEC Staff, the issuer or any security holder of the issuer can receive full information regarding the number of shares purchased or sold at each separate price.

(2) The transaction reported herein includes sales at a range of prices from \$80.00 to \$80.03. The reporting person undertakes to provide on request detailed breakouts in order that the SEC Staff, the issuer or any security holder of the issuer can receive full information regarding the number of shares purchased or sold at each separate price.

(3) Stock options vested in three equal installments, beginning February 5, 2008.

(4) Stock options vested in three equal installments, beginning August 1, 2008.

(5) Stock options vested in three equal installments, beginning February 22, 2009.

(6) Stock options vested in four years, with 50% vesting on the third anniversary of the date of grant and the remaining 50% vesting on the fourth anniversary of the date of grant.

(7) Stock options vested in three equal installments, beginning February 23, 2011.

(8) Stock options vest, subject to the individual's continued employment with WABCO Holdings Inc., in three equal installments. The first installment vested on February 23, 2012, and the second installment vested on February 23, 2013.

(9) Stock options vest, subject to the individual's continued employment with WABCO Holdings Inc., in three equal installments. The first installment vested on February 14, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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