

BANKS PAMELA A
Form 4
February 05, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BANKS PAMELA A

2. Issuer Name **and** Ticker or Trading
Symbol
ETHAN ALLEN INTERIORS INC
[ETH]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
ETHAN ALLEN DRIVE
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/04/2013

____ Director ____ 10% Owner
X Officer (give title below) ____ Other (specify below)
VP, General Counsel & Secy.

DANBURY, CT 06811

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/04/2013		M		1,200	A	\$ 25.71
Common Stock	02/04/2013		S		1,200	D	\$ 29.2577
Common Stock	02/04/2013		M		375	A	\$ 19.07
Common Stock	02/04/2013		S		375	D	\$ 29.3
Common Stock	02/04/2013		M		1,000	A	\$ 17.6

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Common Stock	02/04/2013	S	1,000	D	\$ 29.25	700	D
Common Stock	02/04/2013	M	750	A	\$ 11.74	1,450	D
Common Stock	02/04/2013	S	750	D	\$ 29.25	700	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title		Amount or Number of Shares
Stock Option (right to buy)	\$ 25.71	02/04/2013		M	1,200	06/20/2009	06/20/2018	Common Stock		1,200
Stock Option (right to buy)	\$ 19.07	02/04/2013		M	375	07/26/2012	07/26/2021	Common Stock		375
Stock Option (right to buy)	\$ 17.6	02/04/2013		M	1,000	11/05/2009	11/05/2018	Common Stock		1,000
Stock Option (right to buy)	\$ 11.74	02/04/2013		M	750	11/12/2010	11/20/2019	Common Stock		750

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BANKS PAMELA A ETHAN ALLEN DRIVE DANBURY, CT 06811			VP, General Counsel & Secy.	

Signatures

Pamela A.
Banks

02/05/2013

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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