Edgar Filing: BIOTIME INC - Form 8-K

BIOTIME INC Form 8-K October 07, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): October 7, 2015

#### BioTime, Inc.

(Exact name of registrant as specified in its charter)

California 1-12830 94-3127919
(State or other jurisdiction (Commission File Number) (IRS Employer of incorporation) Identification No.)

## 1301 Harbor Bay Parkway Alameda, California 94502

(Address of principal executive offices)

#### (510) 521-3390

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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## **Forward-Looking Statements**

Any statements that are not historical fact (including, but not limited to statements that contain words such as "may," "will," "believes," "plans," "intends," "anticipates," "expects," "estimates") should also be considered to be forward-looking statements. Additional factors that could cause actual results to differ materially from the results anticipated in these forward-looking statements are contained in BioTime's periodic reports filed with the Securities and Exchange Commission ("SEC") under the heading "Risk Factors" and other filings that BioTime may make with the SEC. Undue reliance should not be placed on these forward-looking statements which speak only as of the date they are made, and the facts and assumptions underlying these statements may change. Except as required by law, BioTime disclaims any intent or obligation to update these forward-looking statements.

#### Section 8 – Other Events

#### **Item 8.01 – Other Events**

On October 7, 2015, our subsidiary OncoCyte Corporation filed a registration statement on Form 10 with the Securities and Exchange Commission in connection with BioTime's planned distribution of shares of OncoCyte common stock to holders of BioTime common shares, on a pro rata basis.

The press release furnished as Exhibit 99.1 to this Report is incorporated by reference into this Item 8.01

## **Section 9 – Financial Statements and Exhibits**

#### **Item 9.01 – Financial Statements and Exhibits**

Exhibit Number Descrip	<u>otion</u>
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99.1 Press release, dated October 7, 2015

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## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# BIOTIME, INC.

Date: October 7, 2015 By: /s/ Michael D. West

Chief Executive Officer

Exhibit Number Description

99.1 Press release, dated October 7, 2015