### Edgar Filing: ANNALY CAPITAL MANAGEMENT INC - Form 4

#### ANNALY CAPITAL MANAGEMENT INC

Form 4

February 24, 2009

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Number:

**OMB APPROVAL** 

Washington, D.C. 20549

3235-0287 January 31,

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

Expires:

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Singh R Nicholas

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

ANNALY CAPITAL (Check all applicable) MANAGEMENT INC [NLY]

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title Other (specify

C/O ANNALY MORTGAGE

(Street)

(State)

(Month/Day/Year) 02/20/2009

below) EVP and General Counsel

MANAGEMENT, INC., 1211 AVENUE OF THE AMERICAS

> 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Zip)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

I

1.150

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10036

(City)

Preferred

Stock

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                   |
|--------------------------------------|--------------------------------------|---|---|------------------|--|--|---|-------------------|
|                                      |                                      | Code V                                  | Amount  | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       | ,   | ` /               |
| Common<br>Stock                      |                                      |   |   |                  |  | 23,775   | D   |                   |
| Common<br>Stock                      | 02/20/2009                           | P                                       | 1,675   | A                | \$<br>13.7   | 7,415  | I   | By 401(k)<br>Plan |
| Class A                              |                                      |   |   |                  |  |  |   | D 401/1-)         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

By 401(k)

Plan

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SEC 1474 (9-02)

8. Properties Secution (Institute)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercise Expiration Date (Month/Day/Y | e                  | 7. Title and A Underlying S (Instr. 3 and | Securities                          | 8<br>I<br>S<br>( |
|---|---|--------------------------------------|---|--|---|---|--------------------|---|-------------------------------------|------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                           | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of Shares |                  |
| Option to<br>Purchase<br>Common<br>Stock (1)        | \$ 17.07  |                                      |   |  |   | 07/07/2006                                    | 07/07/2015         | Common<br>Stock                           | 30,000                              |                  |
| Option to<br>Purchase<br>Common<br>Stock (1)        | \$ 11.72  |                                      |   |  |   | 02/13/2007                                    | 02/13/2016         | Common<br>Stock                           | 19,125                              |                  |
| Option to<br>Purchase<br>Common<br>Stock (1)        | \$ 15.7   |                                      |   |  |   | 05/17/2008                                    | 05/17/2017         | Common<br>Stock                           | 40,000                              |                  |
| Option to<br>Purchase<br>Common<br>Stock (1)        | \$ 16.46  |                                      |   |  |   | 05/08/2009                                    | 05/08/2018         | Common<br>Stock                           | 53,000                              |                  |
| Option to<br>Purchase<br>Common<br>Stock (1)        | \$ 15.61  |                                      |   |  |   | 09/19/2009                                    | 09/19/2019         | Common<br>Stock                           | 53,000                              |                  |

## **Reporting Owners**

| Reporting Owner Name / Address | Reporting Owner Name / Address |           |         |       |
|--------------------------------|--------------------------------|-----------|---------|-------|
|                                | Director                       | 10% Owner | Officer | Other |

Reporting Owners 2

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Singh R Nicholas C/O ANNALY MORTGAGE MANAGEMENT, INC. 1211 AVENUE OF THE AMERICAS NEW YORK, NY 10036

**EVP** and General Counsel

## **Signatures**

/s/ R. Nicholas Singh 02/20/2009

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options previously granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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