

Winkler Julie
Form 4
November 20, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Winkler Julie

(Last) (First) (Middle)

20 S. WACKER DRIVE

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CME GROUP INC. [CME]

3. Date of Earliest Transaction (Month/Day/Year)
11/16/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Sr MD Chief Commercial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock Class A	11/16/2018		M		2,670 A \$ 54.3	24,255	D
Common Stock Class A	11/16/2018		S		2,670 D \$ 195.01	21,585	D
Common Stock Class A	11/16/2018		M		1,310 A \$ 56.87	22,895	D
Common Stock	11/16/2018		S		1,310 D \$ 195.01	21,585	D

Class A					<u>(1)</u>			
Common					\$			
Stock	11/16/2018		S	1,867	D	195.01	19,718	D
Class A					<u>(1)</u>			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	Title
						Code	V (A) (D)	
Non-Qualified Stock Option (right to buy)	\$ 54.3	11/16/2018		M	2,670	09/15/2014 ⁽²⁾ 09/15/2020	Common Stock Class A	
Non-Qualified Stock Option (right to buy)	\$ 56.87	11/16/2018		M	1,310	09/13/2013 ⁽³⁾ 09/15/2019	Common Stock Class A	

Reporting Owners

Reporting Owner Name / Address

Relationships

Winkler Julie
20 S. WACKER DRIVE
CHICAGO, IL 60606

Director 10% Owner Officer Other
Sr MD Chief Commercial Officer

Signatures

By: Margaret Austin Wright For: Julie Marie Winkler

11/20/2018

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 16, 2018, Ms. Winkler sold an aggregate of 5,847 shares of CME Group Class A common stock. For reporting purposes,

- (1) the sale prices within a \$1 range have been aggregated and the weighted average has been reported. The price ranges were \$194.95 to \$195.18. The Company maintains a record of the transactions and copies will be provided upon request.
- (2) As of 9/15/2014, this option vested with respect to 100% of the granted number of shares covered by the option.
- (3) As of 9/15/2013, this option vested with respect to 100% of the granted number of shares covered by the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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