Edgar Filing: DE BOCK ALEXANDER - Form 3

DE BOCK ALEXANDER

Form 3

September 25, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement WABCO Holdings Inc. [WBC] À DE BOCK ALEXANDER (Month/Day/Year) 09/18/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O WABCO HOLDINGS (Check all applicable) INC., 2770 RESEARCH DRIVE 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Interim CFO _X_ Form filed by One Reporting Person ROCHESTER Form filed by More than One HILLS, MIÂ 48309 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5)

> (I) (Instr. 5)

Common Stock 377 D Â

Common Stock $\frac{(1)}{2}$ 122 D Â
Common Stock $\frac{(2)}{2}$ 329 D Â

Common Stock \bigcirc D \hat{A}

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Direct (D) or Indirect

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Date Exercisable

Date

Amount or Title Number of Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

DE BOCK ALEXANDER C/O WABCO HOLDINGS INC. 2770 RESEARCH DRIVE

Â

Interim CFO Â

ROCHESTER HILLS, MIÂ 48309

Signatures

/s/ Thomas P. Conaghan, Attorney-in-Fact

09/25/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to Restricted Stock Units that will vest on February 20, 2018.
- (2) Pursuant to Restricted Stock Units that will vest in two equal installments, on February 22, 2018 and February 22, 2019.
- (3) Pursuant to Restricted Stock Units that will vest in three equal annual installments, beginning on February 22, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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