Allegion plc Form 144 February 13, 2017

OMB APPROVAL

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SEC USE ONLY DOCUMENT SEQUENCE NO.

**CUSIP NUMBER** 

**WORK LOCATION** 

**UNITED STATES** 

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

# Edgar Filing: Allegion plc - Form 144

**ATTENTION:** Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker.

1 (a) NAME OF ISSUER (Please type or print) (b) IRS IDENT. NO. (c) S.E.C. FILE NO.

Allegion plc 98-1108930 001-35971

1 (d) ADDRESS OF ISSUER STREET CITY STATE ZIP CODE (e) TELEPHONE NO.

AREA CODE NUMBER

11819 N. Pennsylvania Street, Carmel, IN 46032 317 810-3700

2 (a) NAME OF PERSON FOR WHOSE (C) ADDRESS

ACCOUNT THE SECURITIES ARE TO BE (b) RELATIONSHIP STREET CITY STATE ZIP CODE

SOLD TO ISSUER

c/o Schlage Lock Company, LLC

CHRIS E. MUHLENKAMP

Officer

11819 N. Pennsylvania Street, Carmel, IN

RIS E. MUHLENKAMP 46032

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	<i>(b)</i>	SEC USE ONLY	(c)	(d)	(e)	(f)	(g)
	Name and Address of Each						
Title of the	Broker		Number of Shares	Aggregate	Number of	Approximate	Name of Each
Class of	Whom the Securities are	Broker-Dealer	or Other Units	Market	Shares or Other Units	Date of Sale	Securities
Securities	to be Offered	File Number	To Be Sold	Value (See instr.	Outstanding	(See instr. 3(f))	Exchange
To Be Sold	l or Each Market Makei	•	(See instr. 3(c))	( <i>See instr.</i> 3( <i>d</i> ))	(See instr. 3(e))	(MO. DAY YR.)	( <i>See instr. 3</i> ( <i>g</i> ))
	who is Acquiring the Securities UBS Financial Services		. , ,				
Ordinary Shares	1285 Avenue of the Americas	f	4,263	\$306,594.96 (as of	96,015,428 (as of October 24, 2016)	February 13, 2017	NYSE
	New York, NY 10019			February 10, 2017)	, <del>, , , , , , , , , , , , , , , , , , </del>		

# **INSTRUCTIONS:**

are to be sold

1.	(a)	Name of issuer	3.(a) Title of the class of securities to be sold
	(b)	Issuer's I.R.S. Identification Number	(b) Name and address of each broker through whom the securities are intended to be sold
	(c)	Issuer's S.E.C. file number, if any	(c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)
	(d)	Issuer's address, including zip code	Aggregate market value of the securities to be sold (d) as of a specified date within 10 days prior to the filing of this notice
	(e)	Issuer's telephone number, including area code	Number of shares or other units of outstanding, as shown by the most the class outstanding, or if debt securities the face amount thereof recent report or statement published by the issuer
	(f)	Approximate date on which the securities are to be sold	

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- (g) Name of each securities exchange, if any, on which the securities are intended to be sold
- 2. (a) Name of person for whose account the securities are to be sold Such person's relationship to the issuer (e.g.,
  - (b) officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
  - (c) Such person's address, including zip code

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147

(08-07)

TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of	Date you	Nature of Acquisition Transaction	Name of Person from Whom Acquired	Amount of	Date of lPayment	Nature of Payment
the Class	Acquired		(If gift, also give date donor acquired)	Securities Acquired		
Ordinary Shares	February 22, 2015	Vesting of Restricted Stock Units	Allegion plc	307	n/a	n/a
Ordinary Shares	February 24, 2015	Vesting of Restricted Stock Units	Allegion plc	416	n/a	n/a
Ordinary Shares	March 11, 2015	Vesting of Restricted Stock Units	Allegion plc	315	n/a	n/a
Ordinary Shares	February 2, 2017	Vesting of Preferred Stock Units	Allegion plc	2,000	n/a	n/a
Ordinary Shares	February 3, 2016	Vesting of Preferred Stock Units	Allegion plc	1,026	n/a	n/a
Ordinary Shares	February 20, 2015	Vesting of Preferred Stock Units	Allegion plc	199	n/a	n/a

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

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## TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Amount of

Gross Proceeds

Name and Address of Seller Title of Securities Sold Date of Sale Securities Sold

#### **REMARKS:**

#### **INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

February 13, 2017 DATE OF NOTICE

DATE OF PLAN ADOPTION OR GIVING OF

### **ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If each person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

/s/ S. Wade Sheek, Attorney-In-Fact (SIGNATURE)

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be INSTRUCTION, IF RELYING ON RULE 10B5-1 manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)