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Harvard Apparatus Regenerative Technology, Inc. Form 8-K November 17, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 12, 2015

HARVARD APPARATUS REGENERATIVE TECHNOLOGY, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-35853 45-5210462

(State or other jurisdiction (Commission File Number) (IRS Employer Identification No.) of incorporation)

84 October Hill Road, Suite 11, Holliston, MA 01746

(Address of principal executive offices) (Zip Code)

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Registrant's telephone number, including area code: (774) 233-7300

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

"Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

"Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

"Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

"Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition.

Item 8.01. Other Events.

On November 12, 2015 at 5:00 PM (Eastern Time), Harvard Apparatus Regenerative Technology, Inc. (the "Company") held a conference call to discuss financial results for the three and nine months ended September 30, 2015 as well as research results pertaining to recently conducted animal research on the Company's 2nd Generation bioengineered implant platform. A transcript of such conference call is furnished herewith as Exhibit 99.1 and is incorporated herein by reference.

The information in these Items 2.02 and 8.01 of this Current Report on Form 8-K, including Exhibit 99.1 attached hereto, shall not be deemed "filed" for purposes of Section 18 of the United States Securities Exchange Act of 1934 (the "Exchange Act") or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the United States Securities Act of 1933 or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit Number Title

99.1 Transcript of conference call held by Harvard Apparatus Regenerative Technology, Inc. on November 12, 2015

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Harvard Apparatus Regenerative Technology, INC. (Registrant)

November 16, 2015 /s/ Thomas McNaughton

(Date) Thomas McNaughton Chief Financial Officer

INDEX TO EXHIBITS

Exhibit

Description of Exhibit

Number

99.1 Transcript of conference call held by Harvard Apparatus Regenerative Technology, Inc. on November 12, 2015