Edgar Filing: Younes Rudolph-Riad - Form 4

Younes Rudolph-Riad

Form 4										
November 2	6, 2012									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL		
Washington, D.C. 20549							OMB Number:	3235-0287		
Check the if no long	Ter								January 31, 2005	
subject to	5 SIAIEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O						Estimated		
Section 1 Form 4 o		SECURITIES						burden hou	•	
Form 5	Filed pur	suant to Section 1	6(a) of the	e Securit	ies E	xchan	ge Act of 1934,	response	0.5	
obligation	ns Section 17(a) of the Public U					•	n		
may cont See Instru		30(h) of the In	vestment	Compan	y Act	t of 19	940			
1(b).										
(Print or Type I	Responses)									
(The of Type I	(coponses)									
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Relationship of							5. Relationship of	Reporting Person(s) to		
Younes Rudolph-Riad Symbol Issuer										
		Artio Global Investors Inc. [ART] (Chee						k all applicable)		
(Last)	(First) (M		3. Date of Earliest Transaction					11	,	
		(Month/E	-				Director X Officer (giv		6 Owner er (specify	
C/O ARTIO GLOBAL INVESTORS 11/20/2012 INC., 330 MADISON AVENUE						X_ Officer (give title Other (specify below) below)				
HNC., 550 WADISON AVENUE Head of International Equity								- ·		
	(Street) 4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
Filed(Month/Day/Year) Applicable Line) _X_Form filed by						One Reporting Person				
NEW YORK NY 10017 Form filed by More than One Reporting										
		(7 :n)					Person			
(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	Securi	ities Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction Date		3. Troposti	4. Securi			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
Security (Instr. 3)	(Month/Day/Year)	any				Beneficially	(D) or	Beneficial		
. ,		(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) O				Owned	Ownership			
							Following Reported	(Instr. 4)	(Instr. 4)	
					(A) or		Transaction(s)			
			Code V	Amount		Price	(Instr. 3 and 4)			
Class A				1,971						
common stock	11/20/2012		А	(1)	А	\$0	5,878,956 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amo Unde Secu	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
Repo	rtina O	wners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
•	C	er Name / Address	Director	10% Owner		ionships		(Other		

Head of International Equity

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Younes Rudolph-Riad C/O ARTIO GLOBAL INVESTORS INC. 330 MADISON AVENUE NEW YORK, NY 10017

Signatures

/s/ Rachel Braverman, as Agent	11/26/2012
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(Represents dividend equivalents with respect to restricted stock units ("RSUs"), each of which represents the right to receive one share of
 (1) Class A common stock of Artio Global Investors Inc. (the "Issuer") upon the lapse of restrictions. The dividend equivalents were issued in the form of additional RSUs and are subject to the same vesting provisions as the underlying RSUs.

(2) Includes RSUs, each of which represents the right to receive one share of Class A common stock of the Issuer upon the lapse of restrictions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.