LANDMARK BANCORP INC

Form 4 March 18, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB 3235-0287

Number: Expires:

January 31, 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average **SECURITIES** burden hours per

response... 0.5

5 Relationship of Reporting Person(s) to

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1 Name and Address of Reporting Person *

| HERPICH M | * | ting reison_ | Symbol | Issuer | | |
|-----------------------|---------|--------------|--|--|--|--|
| | | | LANDMARK BANCORP INC [LARK] | (Check all applicable) | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) | Director 10% Owner Nother (give title Other (specify | | |
| 3225 WILLOWOOD CIRCLE | | | 03/16/2016 | below) below) Chief Financial Officer | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| | | | Filed(Month/Day/Year) | Applicable Line) | | |

MANHATTAN, KS 66502

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | |
|--------------------------------------|--------------------------------------|--|--------|--------|------------------|--|---|-------------------|-----|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed 3. 4. Securities Acquired (A) Execution Date, if Transaction Disposed of (D) any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | |
| | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (I) (Instr. 4) | | | |
| Common Stock | 03/16/2016 | | M | 100 | A | \$ 16.67 | 58,442 | D | | | |
| Common Stock | 03/16/2016 | | S | 100 | D | \$ 24.42 | 58,342 | D | | | |
| Common Stock | 03/17/2016 | | M | 3,507 | A | \$ 16.67 | 61,849 | D | | | |
| Common Stock | 03/17/2016 | | S | 3,507 | D | \$ 24.3043 | 58,342 | D | | | |
| Common Stock | | | | | | | 3,136 | I | IRA | | |

Edgar Filing: LANDMARK BANCORP INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Options to Purchase Common Stock | \$ 16.67 | 03/16/2016 | | M | 100 | <u>(1)</u> | 04/19/2016 | Common Stock | 100 |
| Options to Purchase Common Stock | \$ 16.67 | 03/17/2016 | | M | 3,507 | <u>(1)</u> | 04/19/2016 | Common Stock | 3,507 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HERPICH MARK A 3225 WILLOWOOD CIRCLE MANHATTAN, KS 66502

Chief Financial Officer

Signatures

Mark A. 03/18/2016 Herpich

**Signature of Date

Reporting Person

Reporting Owners 2

Edgar Filing: LANDMARK BANCORP INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vested in 4 equal installments on April 19, 2007, 2008, 2009 and 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.