Brookdale Senior Living Inc.

Form 4

March 01, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RICHARDSON BRYAN D			Symbol				C	Issuer (Check all applicable)			
			Brookd	Brookdale Senior Living Inc. [BKD]							
(Last)	(First)	(Middle)	3. Date of Earliest Transaction								
111 WESTV 400	(Month/Day/Year) 02/26/2016					Director 10% Owner Other (specify below)					
	4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check Applicable Line)					
	Filed(Mo	Filed(Month/Day/Year)									
BRENTWOOD, TN 37027								_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deeme (Month/Day/Year) Execution I any (Month/Day/Day/		n Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect (D) or Ownership Indirect (I) (Instr. 4)		
Common Stock	02/26/2016			A	30,366 (1)	A	\$ 0	216,677	D		
Common Stock	02/26/2016			A	30,366 (2)	A	\$ 0	247,043	D		
Common Stock	02/27/2016			F	7,251 (3)	D	\$ 14.49	239,792	D		
Common Stock	02/27/2016			D	9,837 (4)	D	\$0	229,955	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	le and	8. Price of	9.
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if any	TransactionNumber		Expiration Date		Amou	ınt of	Derivative	D
	Security	or Exercise			Code	of (Month/Day/Ye		(ear) U	Under	Underlying	Security	S
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	В
		Derivative				Securities	ities		(Instr. 3 and 4)		0	
		Security				Acquired						F
						(A) or						R
						Disposed						T
						of (D)						(I
						(Instr. 3,						
						4, and 5)						
										Amount		
										or		
							Date	Expiration Date	Title			
							Exercisable			of		
				Code V	(A) (D)				Shares			
					Couc v	(II) $(D)$				Silaics		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RICHARDSON BRYAN D 111 WESTWOOD PLACE SUITE 400 BRENTWOOD, TN 37027

**EVP & Chief Admin Officer** 

### **Signatures**

/s/ Chad C. White, By Power of Attorney

03/01/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of time-based vesting restricted common stock under the Brookdale Senior Living Inc. 2014 Omnibus Incentive Plan (the "2014 Plan").
- Grant of performance-based vesting restricted common stock under the 2014 Plan. Vesting will be based on achievement of performance goals established by the Compensation Committee. Any performance-based shares that do not vest at the conclusion of the applicable performance period will be forfeited.
- (3) Represents share withholding to satisfy tax withholding obligations due upon the vesting of restricted stock previously granted to the reporting person.

**(4)** 

Reporting Owners 2

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Represents the forfeiture of a portion of the restricted shares granted to the reporting person in 2013 due to the failure to achieve performance goals established by the Compensation Committee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.