

Emergent BioSolutions Inc.  
Form 4  
June 17, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Havey Adam

(Last) (First) (Middle)

400 PROFESSIONAL DR, SUITE  
400

(Street)

GAITHERSBURG, MD 20879

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Emergent BioSolutions Inc. [EBS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
06/15/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

EVP, Biodefense Divison

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------|
| Common<br>Stock <u>(1)</u>            | 06/15/2015                              |                                                             | M                                    | 15,919 A                                                                | \$ 14.67 57,363                                                                                                    | D                                                                       |                                                                                |
| Common<br>Stock <u>(1)</u> <u>(2)</u> | 06/15/2015                              |                                                             | S                                    | 15,919 D                                                                | \$ 31.502 41,444<br><u>(3)</u>                                                                                     | D                                                                       |                                                                                |
| Common<br>Stock <u>(4)</u>            | 06/15/2015                              |                                                             | M                                    | 9,773 A                                                                 | \$ 15.83 51,217                                                                                                    | D                                                                       |                                                                                |
| Common<br>Stock <u>(4)</u> <u>(2)</u> | 06/15/2015                              |                                                             | S                                    | 9,773 D                                                                 | \$ 31.665 41,444<br><u>(5)</u>                                                                                     | D                                                                       |                                                                                |
|                                       | 06/15/2015                              |                                                             | S                                    | 10,606 D                                                                | 30,838                                                                                                             | D                                                                       |                                                                                |

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Common  
Stock <sup>(2)</sup>  
\$  
31.502  
(6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Amount<br>or<br>Number<br>of Shares |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------|
| Stock<br>Option<br><u>(1)</u>                       | \$ 14.67                                                           | 06/15/2015                              |                                                             | M                                    | 15,919                                                                                                       | <u>(7)</u> 03/11/2010                                          | Common<br>Stock                                                     | 15,919                                 |
| Stock<br>Option<br><u>(4)</u>                       | \$ 15.83                                                           | 06/15/2015                              |                                                             | M                                    | 9,773                                                                                                        | <u>(8)</u> 03/12/2019                                          | Common<br>Stock                                                     | 9,773                                  |

## Reporting Owners

| Reporting Owner Name / Address                                         | Relationships                    |
|------------------------------------------------------------------------|----------------------------------|
|                                                                        | Director 10% Owner Officer Other |
| Havey Adam<br>400 PROFESSIONAL DR, SUITE 400<br>GAITHERSBURG, MD 20879 | EVP,<br>Biodefense<br>Division   |

## Signatures

/s/ Eric Burt,  
attorney-in-fact 06/17/2015

Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Consists of an option granted under the company's stock incentive plan on March 12, 2013.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by Mr. Havey.
- (3) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.055 to \$31.77, inclusive.
- (4) Consists of an option granted under the company's stock incentive plan on March 13, 2012.
- (5) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.66 to \$31.72, inclusive.
- (6) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$31.03 to \$31.76, inclusive.
- (7) The option vested in three equal installments in March 2014, 2015 and 2016
- (8) The option vested in three equal installments in March 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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