ARDELYX, INC. Form 4/A March 12, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number: January 31,

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

Stock

1. Name and Address of Reporting Person \* JUE GEORGE

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

ARDELYX, INC. [ARDX]

(Check all applicable)

VP, Operations

C/O ARDELYX, INC., 34175

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Director X\_ Officer (give title Other (specify below) below)

ARDENWOOD BLVD, SUITE 200

(Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year) 03/04/2015

03/02/2015

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FREMONT, CA 94555

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Beneficially (D) or Indirect (I) Owned Following (Instr. 4) Reported

Beneficial Ownership (Instr. 4)

Indirect

(A) or

Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price Common

(Month/Day/Year)

See I Footnote  $4,220^{(1)}$ 

(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: ARDELYX, INC. - Form 4/A

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                | 5.                 | 6. Date Exerc       | cisable and     | 7. Title a   | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------------|--------------------|---------------------|-----------------|--------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber |                    | Expiration D        | ate             | Amount       | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code              | of                 | (Month/Day/         | Year)           | Underlyi     | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)        | str. 8) Derivative |                     |                 | Securitie    | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |                   | Securities         |                     |                 | (Instr. 3    | and 4) |             | Own    |
|             | Security    | ity Acquired        |                    |                   |                    |                     |                 |              |        |             | Follo  |
|             | •           |                     |                    |                   | (A) or             |                     |                 |              |        |             | Repo   |
|             |             |                     |                    |                   | Disposed           |                     |                 |              |        |             | Trans  |
|             |             |                     |                    |                   | of (D)             |                     |                 |              |        |             | (Instr |
|             |             |                     |                    |                   | (Instr. 3,         |                     |                 |              |        |             |        |
|             |             |                     |                    |                   | 4, and 5)          |                     |                 |              |        |             |        |
|             |             |                     |                    |                   |                    |                     |                 | Α.           |        |             |        |
|             |             |                     |                    |                   |                    |                     |                 |              | mount  |             |        |
|             |             |                     |                    |                   |                    | Date<br>Exercisable | Expiration Date | or           |        |             |        |
|             |             |                     |                    |                   |                    |                     |                 | Title Number |        |             |        |
|             |             |                     |                    |                   |                    |                     |                 | of           |        |             |        |
|             |             |                     |                    | Code V            | (A) (D)            |                     |                 | Sł           | hares  |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

JUE GEORGE C/O ARDELYX, INC. 34175 ARDENWOOD BLVD, SUITE 200 FREMONT, CA 94555

VP, Operations

## **Signatures**

/s/ Elizabeth Grammer, Attorney-in-Fact for George Jue

03/09/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This amendment is filed solely to correct the number of shares held indirectly by the reporting person. The updated total reflects the acquisition of 165 shares under the Issuer's Employee Stock Purchase Plan.
- (2) The shares are directly held by the Reporting Person's daughter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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