

REGENERON PHARMACEUTICALS INC

Form 4

July 03, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Sanofi

2. Issuer Name **and** Ticker or Trading
Symbol
REGENERON
PHARMACEUTICALS INC
[REGN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ☒ 10% Owner
____ Officer (give title below) ____ Other (specify below)

(Last) (First) (Middle)

54, RUE LA BOETIE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/02/2014

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

PARIS, IO 75008

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (1) (2)	(A) or (D) Price (1) (3)	
Common Stock	07/02/2014		P		6,151 (1) (2)	\$ 298.9059 (1) (3)	20,689,792 I See note (4)
Common Stock	07/02/2014		P		18,945 (1) (2)	\$ 299.8729 (1) (5)	20,708,737 I See note (4)
Common Stock	07/02/2014		P		10,900 (1) (2)	\$ 300.5558 (1) (6)	20,719,637 I See note (4)
	07/02/2014		P				20,726,310 I

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Common Stock			6,673 <u>(1) (2)</u>		\$ 301.631 <u>(1) (7)</u>			See note <u>(4)</u>
Common Stock	07/02/2014	P	1,700 <u>(1) (2)</u>	A	\$ 303.0229 <u>(1) (8)</u>	20,728,010	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	2,425 <u>(1) (2)</u>	A	\$ 304.193 <u>(1) (9)</u>	20,730,435	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	8,741 <u>(1) (2)</u>	A	\$ 305.2062 <u>(1) (10)</u>	20,739,176	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	7,138 <u>(1) (2)</u>	A	\$ 306.3395 <u>(1) (11)</u>	20,746,314	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	18,997 <u>(1) (2)</u>	A	\$ 307.2525 <u>(1) (12)</u>	20,765,311	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	35,400 <u>(1) (2)</u>	A	\$ 308.4334 <u>(1) (13)</u>	20,800,711	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	30,530 <u>(1) (2)</u>	A	\$ 309.3588 <u>(1) (14)</u>	20,831,241	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	28,290 <u>(1) (2)</u>	A	\$ 310.1358 <u>(1) (15)</u>	20,859,531	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	30,510 <u>(1) (2)</u>	A	\$ 311.3193 <u>(1) (16)</u>	20,890,041	I	See note <u>(4)</u>
Common Stock	07/02/2014	P	6,000 <u>(1) (2)</u>	A	\$ 311.9243 <u>(1) (17)</u>	20,896,041	I	See note <u>(4)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own
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Security

Acquired
(A) or
Disposed
of (D)
(Instr. 3,
4, and 5)Follow
Repor
Trans
(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sanofi 54, RUE LA BOETIE PARIS, IO 75008			X	

Signatures

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities
Law

07/03/2014

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- (2) Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). The shares were purchased pursuant to a plan intended to comply with Rule 10b5-1(c)(1)(i) under the Exchange Act, entered into with a broker on June 27, 2014.
- (3) Purchase prices range from \$298.29 to \$299.28 per share, inclusive.
- (4) Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares beneficially owned directly by SAAN and Aventis was 18,096,489 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuers Board of Directors.
- (5) Purchase prices range from \$299.29 to \$300.28 per share, inclusive.
- (6) Purchase prices range from \$300.32 to \$301.19 per share, inclusive.
- (7) Purchase prices range from \$301.36 to \$302.00 per share, inclusive.
- (8) Purchase prices range from \$302.59 to \$303.54 per share, inclusive.
- (9) Purchase prices range from \$303.73 to \$304.68 per share, inclusive.
- (10) Purchase prices range from \$304.75 to \$305.60 per share, inclusive.
- (11) Purchase prices range from \$305.77 to \$306.76 per share, inclusive.

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- (12) Purchase prices range from \$306.77 to \$307.76 per share, inclusive.
- (13) Purchase prices range from \$307.81 to \$308.80 per share, inclusive.
- (14) Purchase prices range from \$308.81 to \$309.80 per share, inclusive.
- (15) Purchase prices range from \$309.81 to \$310.80 per share, inclusive.
- (16) Purchase prices range from \$310.81 to \$311.80 per share, inclusive.
- (17) Purchase prices range from \$311.81 to \$312.11 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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