INTREXON CORP Form 4/A

FORM 4

May 29, 2014

#### **OMB APPROVAL**

3235-0287

**OMB** 

Number:

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KIRK RANDAL J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Chief Executive Officer

INTREXON CORP [XON]

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director X\_ Officer (give title

\_X\_\_ 10% Owner

C/O THIRD SECURITY, LLC, 1881 05/09/2014

below)

\_\_ Other (specify

**GROVE AVENUE** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

05/13/2014

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

RADFORD, VA 24141

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secui	ities Acq	uired, Disposed (	of, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/09/2014		P	15,088	A	\$ 13.42	779,294	I	by MGK 2009 (1)
Common Stock	05/12/2014		P	18,066	A	\$ 15.89	797,360	I	by MGK 2009 (1)
Common Stock	05/13/2014		P	32,019	A	\$ 15.63	829,379	I	by MGK 2009 (1)
Common Stock	05/09/2014		P	2,160	A	\$ 14.24	129,794	I	by Alana Czypinski
Common Stock							243,001	I	by NRM VII Holdings

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			<u>(1)</u>
Common Stock	4,711,852	I	by R.J. Kirk DOT
Common Stock	699,586	I	by JPK 2009 (1)
Common Stock	73,668	I	by ZSK 2009 (1)
Common Stock	101,482	I	by JPK 2008 (1)
Common Stock	102,437	I	MGK 2008
Common Stock	76,611	I	ZSK 2008
Common Stock	940,426	I	MGK 2011
Common Stock	818,461	I	by JPK 2012 (1)
Common Stock	131,081	I	by Kellie L. Banks LTT (1)
Common Stock	179,199	I	by ADC 2010 (1)
Common Stock	5,746,167	I	by Kapital Joe (1)
Common Stock	5,428,401	I	by Mascara Kaboom (1)
Common Stock	178,724	I	by Staff 2001 (2)
Common Stock	1,356,648	I	by Senior Staff 2008
Common Stock	1,356,648	I	Staff 2010 (2)
Common Stock	678,323	I	by Incentive 2010 (2)
Common Stock	1,196,077	I	by NRM IV (2)
Common Stock	22,636,052	I	by NRM V

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Common Stock	13,340,645	I	by NRM VI Holdings
Common Stock	1,679,578	I	by NewVa

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration Da	ate	Amou	nt of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	8) Derivativ	e		Securi	ities	(Instr. 5)	
	Derivative				Securities	S		(Instr.	3 and 4)		
	Security				Acquired						. 1
	·				(A) or						1
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
						•	Date	Title N	Number		
						LACICISABIC	Duic		of		
				Code	V (A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
•	Director	10% Owner	Officer	Other				
KIRK RANDAL J C/O THIRD SECURITY, LLC 1881 GROVE AVENUE RADFORD, VA 24141	X	X	Chief Executive Officer					
Ciamatuwa a								

# **Signatures**

/s/ Randal J.
Kirk

\*\*Signature of Reporting Person

Date

Reporting Owners 3

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Randal J. Kirk controls each of MGK 2009, LLC ("MGK 2009"), New River Management VII Holdings I, LLC ("NRM VII Holdings"), R.J. Kirk Declaration of Trust ("R.J. DOT"), JPK 2009, LLC ("JPK 2009"), , ZSK 2009, LLC ("ZSK 2009"), JPK 2008, LLC ("JPK 2008"), MGK 2018, LLC ("MGK 2018"), LLC ("MGK 2018"), LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2008"), MGK 2011, LLC ("MGK 2011"), MGK 2011"), MGK 2011, LLC ("MGK 2011"), MGK 2011"), MGK 2011", MGK 2011"), MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011", MGK 2011", MGK 2011", MGK 2011", MGK 2011", MGK 2011"), MGK 2011", MGK 2011"
- (1) 2012"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), ADC 2010, LLC ("ADC 2010"), Kapital Joe, LLC ("Kapital Joe") and Mascara Kaboom, LLC ("Mascara Kaboom"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.
  - Randal J. Kirk controls each of Third Security Staff 2001 LLC ("Staff 2001"), Third Security Senior Staff 2008 LLC ("Senior Staff 2008"), Third Security Staff 2010 LLC ("Staff 2010"), Third Security Incentive 2010 LLC ("Incentive 2010"), New River Management IV, LP ("NRM IV"), New River Management V, LP ("NRM V"), NRM VI Holdings I, LLC ("NRM VI Holdings") and NewVa Capital
- (2) Partners, LP ("NewVa"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

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