AeroVironment Inc Form 4 May 02, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kim Jikun

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

AeroVironment Inc [AVAV]

(Last)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

(Check all applicable)

C/O AEROVIRONMENT, INC., 181 W. HUNTINGTON DRIVE, SUITE

(State)

202

04/30/2014 below) SVP and CFO

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

### MONROVIA, CA 91016

(City)

	1 401	CI-MOH-D	ciivative	Secui	nues Acq	equired, Disposed of, of Deficiletally Owned				
2. Transaction Date	2A. Deemed	3. 4. Securities Acquired			5. Amount of	6. Ownership	7. Nature of			
(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect			
	any	Code	(Instr. 3, 4 and 5)		Beneficially	(D) or	Beneficial			
	(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership		
						Following	(Instr. 4)	(Instr. 4)		
				(4)		Reported				
						Transaction(s)				
		G 1 17			ъ.	(Instr. 3 and 4)				
		Code V	Amount	(D)	Price					
04/20/2014		М	069	٨	\$	27.049	D			
04/30/2014		1V1	908	A	33.77	37,940	D			
04/20/2014		Б	261	D	\$	27 501	D			
04/30/2014		Г	304	ט	33.77	31,304	ט			
		2. Transaction Date (Month/Day/Year)  2A. Deemed Execution Date, if any (Month/Day/Year)	2. Transaction Date (Month/Day/Year) Execution Date, if any (Month/Day/Year) (Month/Day/Year) Code (Instr. 8)  Code V  04/30/2014 M	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  Code V Amount  M 968	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  (Instr. 8)  (A) or Code V Amount (D)  04/30/2014  M 968 A	2. Transaction Date (Month/Day/Year)  2. Transaction Date (Execution Date, if any (Month/Day/Year)  2. Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)  Code V Amount (D) Price  M 968 A \$\frac{(A)}{33.77}\$	2. Transaction Date (Month/Day/Year)   2A. Deemed (Execution Date, if any (Month/Day/Year)   (Month/Day/Year)   (Instr. 8)   2A. Deemed (Instr. 3, 4 and 5)   3.   4. Securities Acquired (Instr. 3, 4 and 5)   5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 8)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities Acquired (Instr. 3, 4 and 5)   7. Amount of Securities (Instr. 3, 4 and 5)   7. Amount of Securities (Instr. 3, 4 and 5)   7. Amount of Securities (Instr. 3, 4 and 5)   7. Amount of Securities (Instr. 3, 4 and 5)   7. Amount of Securities (Instr. 3, 4 and 5)   7. Amount of Securities (Instr. 3, 4 and 5)   7. Amount of Sec	(Month/Day/Year) Execution Date, if any (Month/Day/Year) (Instr. 8) Transaction(A) or Disposed of (D) (D) or Owned Indirect (I) Following Reported Transaction(s) (Instr. 4)  Code V Amount (D) Price  M 968 A \$ 33.77  Securities Beneficially (D) or Indirect (I) Following (Instr. 4)  Form: Direct (D) or Indirect (I) (Instr. 4)  Price (Instr. 3 and 4)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: AeroVironment Inc - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				of (D) (Instr. 3, 4, and 5)				Amount	
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	or Number of Shares	
Restricted Stock Unit	<u>(1)</u>	04/30/2014	M	968	(2)	(2)	Common Stock	968	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Kim Jikun C/O AEROVIRONMENT, INC. 181 W. HUNTINGTON DRIVE, SUITE 202 MONROVIA, CA 91016

SVP and CFO

## **Signatures**

/s/ Marco Quihuis, Attorney-in-Fact 05/02/2014

\*\*Signature of Reporting Person Dat

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of AeroVironment, Inc. common stock.
- (2) The units are subject to a right of forfeiture in favor of the Company that lapses with respect to 968 units on each of April 30, 2013 and 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2