**INTREXON CORP** 

Form 4

March 31, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or Form 5

SECURITIES SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> KIRK RANDAL J

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

INTREXON CORP [XON]

(Check all applicable)

(Last)

(First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_X\_\_ Director \_\_X\_\_ 10% Owner \_\_X\_\_ Officer (give title \_\_\_\_\_ Other (specify

C/O THIRD SECURITY, LLC, 1881 03/27/2014

(Street)

**GROVE AVENUE** 

below) below)

4. If Amendment, Date Original

Filed(Month/Day/Year)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

RADFORD, VA 24141

(City)	(State)	(Zip) Tab	ole I - Non	-Derivative S	Securi	ties Acqu	ired, Disposed of	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securitie omr Dispose (Instr. 3, 4	d of (I	<b>O</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/27/2014		Р	243,001	A	\$ 25.72	243,001	I	by NRM VII Holdings
Common Stock							4,711,852	I	by R.J. Kirk DOT
Common Stock							699,586	I	by JPK 2009 (1)
Common Stock							764,206	I	by MGK 2009 (1)

#### Edgar Filing: INTREXON CORP - Form 4

Common Stock	73,668	I	by ZSK 2009 (1)
Common Stock	101,482	I	by JPK 2008 (1)
Common Stock	102,437	I	MGK 2008
Common Stock	76,611	I	ZSK 2008
Common Stock	940,426	I	MGK 2011
Common Stock	818,461	I	by JPK 2012 (1)
Common Stock	131,081	I	by Kellie L. Banks LTT (1)
Common Stock	179,199	I	by ADC 2010 (1)
Common Stock	5,746,167	I	by Kapital Joe (1)
Common Stock	5,428,401	I	by Mascara Kaboom (1)
Common Stock	178,724	I	by Staff 2001 (2)
Common Stock	1,356,648	I	by Senior Staff 2008
Common Stock	1,356,648	I	Staff 2010 (2)
Common Stock	678,323	I	by Incentive 2010 (2)
Common Stock	1,196,077	I	by NRM IV (2)
Common Stock	22,636,052	I	by NRM V
Common Stock	13,340,645	I	by NRM VI Holdings
Common Stock	1,679,578	I	by NewVa

Common Stock by Alana Czypinski (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Tit. Amou Under Secur (Instr	ınt of rlying	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>F</b>	Director	10% Owner	Officer	Other			
KIRK RANDAL J C/O THIRD SECURITY, LLC 1881 GROVE AVENUE RADFORD, VA 24141	X	X	Chief Executive Officer				

## **Signatures**

/s/ Randal J.
Kirk

\*\*Signature of Reporting Person

O3/31/2014

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Randal J. Kirk controls each of New River Management VII Holdings I, LLC ("NRM VII Holdings"), R.J. Kirk Declaration of Trust ("R.J. DOT"), JPK 2009, LLC ("JPK 2009"), MGK 2009, LLC ("MGK 2009"), ZSK 2009, LLC ("ZSK 2009"), JPK 2008, LLC ("JPK

Reporting Owners 3

#### Edgar Filing: INTREXON CORP - Form 4

2008"), MGK 2008, LLC ("MGK 2008"), ZSK 2008, LLC ("ZSK 2008"), MGK 2011, LLC ("MGK 2011"), JPK 2012, LLC ("JPK 2012"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), ADC 2010, LLC ("ADC 2010"), Kapital Joe, LLC ("Kapital Joe") and Mascara Kaboom, LLC ("Mascara Kaboom"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

Randal J. Kirk controls each of Third Security Staff 2001 LLC ("Staff 2001"), Third Security Senior Staff 2008 LLC ("Senior Staff 2008"), Third Security Staff 2010 LLC ("Staff 2010"), Third Security Incentive 2010 LLC ("Incentive 2010"), New River Management IV, LP ("NRM IV"), New River Management V, LP ("NRM V"), NRM VI Holdings I, LLC ("NRM VI Holdings") and NewVa Capital Partners, LP ("NewVa"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.