Edgar Filing: FEDERATED NATIONAL HOLDING CO - Form 4

FEDERATED NATIONAL HOLDING CO Form 4 December 09, 2013

FORM 4	L								OMB AF	PROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this bo if no longer									Expires:	January 31, 2005	
subject to Section 16. Form 4 or		STATEMENT OF CHANGES IN BENEFICIAI SECURITIES							Estimated a burden hour response	verage	
obligations may continue	<i>See</i> Instruction 30(h) of the Investment Company Act of 1935 of Section 30(h)										
(Print or Type Resp	onses)										
1. Name and Addree SIMBERG BRU			Symbol	Name and			ng	5. Relationship of Issuer	Reporting Pers	on(s) to	
			FEDERATED NATIONAL HOLDING CO [FNHC]					(Check all applicable)			
(Last)	(First) (M	liddle)	3. Date of (Month/D	Earliest Tra ay/Year)	ansaction			-	title X_0 Other below	Owner er (specify	
14050 N.W. 14 180	STREET, SU	ITE	12/06/20	013				below) Chairn	nan of the Boar	d	
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SUNRISE, FL	33323							Form filed by M Person	lore than One Re	porting	
(City)	(State) ((Zip)	Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
	Transaction Date onth/Day/Year)	2A. Deem Execution any (Month/D	n Date, if	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V	Amount		Price	(Instr. 3 and 4)			
Stock par	/06/2013			М	500	А	\$ 13.17	421,017 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and a	ecurities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (right to buy)	\$ 13.17	12/06/2013		М	500	12/06/2008	12/06/2013	Common Stock, par value \$0.01 per share	500
Stock Options (right to buy)	\$ 12.58					01/30/2009 <u>(2)</u>	01/30/2014	Common Stock, par value \$0.01 per share	4,500
Stock Options (right to buy)	\$ 2.45					08/22/2012 <u>(3)</u>	08/22/2021	Common Stock, par value \$0.01 per share	6,666
Stock Options (right to buy)	\$ 4.4					04/06/2013 <u>(3)</u>	04/06/2022	Common Stock, par value \$0.01 per share	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SIMBERG BRUCE 14050 N.W. 14 STREET SUITE 180 SUNRISE, FL 33323	х			Chairman of the Board			

Signatures

/s/ Bruce F. Simberg

12/09/2013

**Signature of	
Reporting Person	

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4,000 of the total shares are restricted shares subject to vesting under the Issuer's 2012 Stock Incentive Plan.
- (2) The options vest 33 1/3% per year.
- (3) The options vest 33 1/3% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.