

RANKIN VICTOIRE G

Form 4

April 26, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN VICTOIRE G

2. Issuer Name **and** Ticker or Trading  
Symbol  
HYSTER-YALE MATERIALS  
HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD HEIGHTS, OH 44124

(City)

(State)

(Zip)

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/25/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title \_\_\_\_X\_\_\_\_ Other (specify  
below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect Beneficial<br>Ownership<br>(Instr. 4)                                  |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock            | 04/25/2019                              |                                                             | P                                    | 175 <sup>(1)</sup> A                                                    | \$<br>64.99 96,077                                                                                                 | I                                                                       | serves as<br>Trustee of a<br>Trust for the<br>benefit of<br>Victoire G.<br>Rankin               |
| Class A<br>Common<br>Stock            | 04/25/2019                              |                                                             | P                                    | 249 <sup>(1)</sup> A                                                    | \$<br>64.99 63,663                                                                                                 | I                                                                       | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of<br>Bruce T.<br>Rankin <sup>(2)</sup> |

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|                            |            |   |                |   |             |        |   |                                                                                                                        |
|----------------------------|------------|---|----------------|---|-------------|--------|---|------------------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 04/25/2019 | P | 13 <u>(1)</u>  | A | \$<br>64.99 | 16,397 | I | Spouse serves<br>as trustee of<br>Trust fbo Clara<br>LT Rankin<br>representing<br>interest in<br>shares held by<br>RA5 |
| Class A<br>Common<br>Stock | 04/25/2019 | P | 14 <u>(1)</u>  | A | \$<br>64.99 | 41,948 | I | Spouse serves<br>as trustee for<br>GSTs for the<br>benefit of<br>Helen Butler                                          |
| Class A<br>Common<br>Stock | 04/25/2019 | P | 14 <u>(1)</u>  | A | \$<br>64.99 | 41,948 | I | Spouse serves<br>as trustee for<br>the GSTs for<br>the benefit of<br>Clara Williams                                    |
| Class A<br>Common<br>Stock | 04/25/2019 | P | 14 <u>(1)</u>  | A | \$<br>64.99 | 41,962 | I | Spouse serves<br>as trustee for<br>GSTs for the<br>benefit of<br>Helen Butler                                          |
| Class A<br>Common<br>Stock | 04/25/2019 | P | 14 <u>(1)</u>  | A | \$<br>64.99 | 41,962 | I | Spouse serves<br>as trustee for<br>the GSTs for<br>the benefit of<br>Clara Williams                                    |
| Class A<br>Common<br>Stock | 04/25/2019 | P | 1 <u>(1)</u>   | A | \$<br>64.99 | 612    | I | Reporting<br>person's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                     |
| Class A<br>Common<br>Stock | 04/25/2019 | P | 1 <u>(1)</u>   | A | \$<br>64.99 | 613    | I | Spouse's<br>brother's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                     |
| Class A<br>Common<br>Stock | 04/26/2019 | P | 235 <u>(1)</u> | A | \$<br>64.99 | 96,312 | I | serves as<br>Trustee of a<br>Trust for the<br>benefit of<br>Victoire G.                                                |

|                            |            |   |                    |   |             |         |   |                                                                                                                               |
|----------------------------|------------|---|--------------------|---|-------------|---------|---|-------------------------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 04/26/2019 | P | 331 <sup>(1)</sup> | A | \$<br>64.99 | 63,994  | I | Rankin<br>Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of<br>Bruce T.<br>Rankin <sup>(2)</sup>                     |
| Class A<br>Common<br>Stock | 04/26/2019 | P | 18 <sup>(1)</sup>  | A | \$<br>64.99 | 16,415  | I | Spouse serves<br>as trustee of<br>Trust fbo Clara<br>LT Rankin<br>representing<br>interest in<br>shares held by<br>RA5        |
| Class A<br>Common<br>Stock | 04/26/2019 | P | 1 <sup>(1)</sup>   | A | \$<br>64.99 | 658     | I | Represents<br>spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V                            |
| Class A<br>Common<br>Stock |            |   |                    |   |             | 21,920  | I | Represents<br>Spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>AMR<br>Associates LP    |
| Class A<br>Common<br>Stock |            |   |                    |   |             | 9,600   | I | spouse serves<br>as Trustee of<br>Trusts for the<br>benefit of each<br>of grantor's<br>grandchildren<br><sup>(2)</sup>        |
| Class A<br>Common<br>Stock |            |   |                    |   |             | 14,752  | I | Held in an<br>Individual<br>Retirement<br>Account for<br>the benefit of<br>the Reporting<br>Person's<br>spouse <sup>(2)</sup> |
|                            |            |   |                    |   |             | 152,301 | I |                                                                                                                               |

|                            |        |   |                                                                                                                        |
|----------------------------|--------|---|------------------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock |        |   | spouse serves<br>as Trustee of a<br>Trust for the<br>benefit of<br>Alfred M.<br>Rankin, Jr <sup>(2)</sup>              |
| Class A<br>Common<br>Stock | 10,271 | I | Spouse's<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>RA1 <sup>(2)</sup>             |
| Class A<br>Common<br>Stock | 541    | I | Spouses's<br>proportionate<br>limited<br>partership<br>interest in<br>shares held by<br>AMR RAI <sup>(2)</sup>         |
| Class A<br>Common<br>Stock | 19     | I | Spouse's<br>proporationate<br>partnership<br>interest in<br>RAIV <sup>(2)</sup>                                        |
| Class A<br>Common<br>Stock | 1,087  | I | Spouses<br>proportionate<br>limited<br>partnership<br>interest in<br>shares held by<br>Rankin<br>Associates IV,<br>L.P |
| Class A<br>Common<br>Stock | 282    | I | Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI                                  |
| Class A<br>Common<br>Stock | 1,975  | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI")                    |

|                            |        |   |                                                                                                                                    |
|----------------------------|--------|---|------------------------------------------------------------------------------------------------------------------------------------|
|                            |        |   | <u>(2)</u>                                                                                                                         |
| Class A<br>Common<br>Stock | 78     | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAV held by<br>Rankin<br>Management,<br>Inc.                         |
| Class A<br>Common<br>Stock | 96     | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAVI held by<br>Rankin<br>Management,<br>Inc.                        |
| Class A<br>Common<br>Stock | 18,625 | I | spouse serves<br>as Trustee of<br>Trusts for the<br>benefit of the<br>Estate of<br>Alfred M.<br>Rankin, Sr <u>(2)</u>              |
| Class A<br>Common<br>Stock | 42,666 | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of BT<br>Rankin, Trust's<br>proportionate<br>interest of RA1<br><u>(2)</u> |
| Class A<br>Common<br>Stock | 25,099 | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of BT<br>Rankin, Trust<br>proportionate<br>interest in<br>RAII <u>(2)</u>  |
| Class A<br>Common<br>Stock | 46,182 | I | Spouse is a<br>Trustee of a<br>Trust for the<br>benefit of BT<br>Rankin, Trust's<br>proportionate<br>interest of RA4               |

|                            |        |   |                                                                                                              |
|----------------------------|--------|---|--------------------------------------------------------------------------------------------------------------|
| Class A<br>Common<br>Stock | 16,065 | I | (2)                                                                                                          |
|                            |        |   | spouse serves<br>as the Trustee<br>of the Trust<br>which is held<br>for the benefit<br>of Clara LT<br>Rankin |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |                                     |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date<br>Exercisable                                            | Expiration<br>Date                                                  | Title                                               | Amount<br>or<br>Number<br>of Shares |
| Class B<br>Common<br>Stock                          | (3)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (3)                                                            | (3)                                                                 | Class A<br>Common<br>Stock                          | 25,524                              |
| Class B<br>Common<br>Stock                          | (3)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (3)                                                            | (3)                                                                 | Class A<br>Common<br>Stock                          | 9,600                               |
|                                                     | (3)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (3)                                                            | (3)                                                                 |                                                     | 14,160                              |

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| Class B<br>Common<br>Stock |            |  |            | Class A<br>Common<br>Stock |                                   |
|----------------------------|------------|--|------------|----------------------------|-----------------------------------|
| Class B<br>Common<br>Stock | <u>(3)</u> |  | <u>(3)</u> | <u>(3)</u>                 | Class A<br>Common<br>Stock 17,556 |
| Class B<br>Common<br>Stock | <u>(3)</u> |  | <u>(3)</u> | <u>(3)</u>                 | Class A<br>Common<br>Stock 18,373 |
| Class B<br>Common<br>Stock | <u>(3)</u> |  | <u>(3)</u> | <u>(3)</u>                 | Class A<br>Common<br>Stock 541    |
| Class B<br>Common<br>Stock | <u>(3)</u> |  | <u>(3)</u> | <u>(3)</u>                 | Class A<br>Common<br>Stock 31     |
| Class B<br>Common<br>Stock | <u>(3)</u> |  | <u>(3)</u> | <u>(3)</u>                 | Class A<br>Common<br>Stock 1,721  |
| Class B<br>Common<br>Stock | <u>(3)</u> |  | <u>(3)</u> | <u>(3)</u>                 | Class A<br>Common<br>Stock 1,975  |

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(3)</u> | <u>(3)</u> | <u>(3)</u> | Class A<br>Common<br>Stock | 18,625 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(3)</u> | <u>(3)</u> | <u>(3)</u> | Class A<br>Common<br>Stock | 76,330 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(3)</u> | <u>(3)</u> | <u>(3)</u> | Class A<br>Common<br>Stock | 25,099 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |        |
|----------------------------|------------|------------|------------|----------------------------|--------|
| Class B<br>Common<br>Stock | <u>(3)</u> | <u>(3)</u> | <u>(3)</u> | Class A<br>Common<br>Stock | 73,166 |
|----------------------------|------------|------------|------------|----------------------------|--------|

|                            |            |            |            |                            |     |
|----------------------------|------------|------------|------------|----------------------------|-----|
| Class B<br>Common<br>Stock | <u>(3)</u> | <u>(3)</u> | <u>(3)</u> | Class A<br>Common<br>Stock | 747 |
|----------------------------|------------|------------|------------|----------------------------|-----|

|                            |            |            |            |                            |       |
|----------------------------|------------|------------|------------|----------------------------|-------|
| Class B<br>Common<br>Stock | <u>(3)</u> | <u>(3)</u> | <u>(3)</u> | Class A<br>Common<br>Stock | 6,889 |
|----------------------------|------------|------------|------------|----------------------------|-------|

|                            |     |     |     |                            |        |
|----------------------------|-----|-----|-----|----------------------------|--------|
| Class B<br>Common<br>Stock | (3) | (3) | (3) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | (3) | (3) | (3) | Class A<br>Common<br>Stock | 21,006 |

## Reporting Owners

| Reporting Owner Name / Address                                            | Relationships |           |         |                   |
|---------------------------------------------------------------------------|---------------|-----------|---------|-------------------|
|                                                                           | Director      | 10% Owner | Officer | Other             |
| RANKIN VICTOIRE G<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 |               |           |         | Member of a Group |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

04/26/2019

\_\_Signature of Reporting Person      Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) N/A
- (4) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.