

LaPlume Joseph W  
 Form 3  
 February 07, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |   |  |   |   |  |
|---|---|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>LaPlume Joseph W</p> <p>(Last) (First) (Middle)</p> <p>251 BALLARDVALE STREET</p> <p>(Street)</p> <p>WILMINGTON, MA 01887</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/31/2019</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>CHARLES RIVER LABORATORIES INTERNATIONAL INC [CRL]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>EVP, Corp Strategy &amp; Develop</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|---|---|--|

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 13,027 <sup>(1)</sup>                                    | D   | ^  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|--|--|--|
|   | Date Exercisable<br>Expiration Date                         | Title<br>Amount or Number of   |  |  |  |

Edgar Filing: LaPlume Joseph W - Form 3

|                              |                           |            |              | Shares |           | (I)<br>(Instr. 5) |   |
|------------------------------|---------------------------|------------|--------------|--------|-----------|-------------------|---|
| Stock Options (Right to Buy) | 02/27/2016 <sup>(2)</sup> | 02/27/2020 | Common Stock | 1,542  | \$ 76.67  | D                 | Â |
| Stock Options (Right to Buy) | 02/26/2017 <sup>(3)</sup> | 02/26/2021 | Common Stock | 6,700  | \$ 73.7   | D                 | Â |
| Stock Options (Right to Buy) | 02/24/2018 <sup>(4)</sup> | 02/24/2022 | Common Stock | 10,477 | \$ 88.05  | D                 | Â |
| Stock Options (Right to Buy) | 02/23/2019 <sup>(5)</sup> | 02/23/2023 | Common Stock | 12,818 | \$ 109.34 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                     | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| LaPlume Joseph W<br>251 BALLARDVALE STREET<br>WILMINGTON, MA 01887 | Â             | Â         | Â EVP, Corp Strategy & Develop | Â     |

## Signatures

/s/ Joseph W.  
LaPlume

02/06/2019

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Consists of: (a) 6,296 shares of common stock; (b) 6,731 unvested restricted stock units (RSUs) which vest as follows: 350 on 2/27/2019, 683 on 2/26/2019, 725 on 2/24/2019, 710 on 2/23/2019, 683 on 2/26/2020, 725 on 2/24/2020, 710 on 2/23/2020, 725 on 2/24/2021, 710 on 2/23/2021 and 710 on 2/23/2022. Each RSU represents a contingent right to receive one share of common stock.
  - (2) Stock Options will vest as follows: 1,542 on 2/27/2019.
  - (3) Stock Options will vest as follows: 3,350 on 2/26/2019 and 3,350 on 2/26/2020.
  - (4) Stock Options will vest as follows: 3,492 on 2/24/2019, 3,492 on 2/24/2020 and 3,493 on 2/24/2021.
  - (5) Stock Options will vest as follows: 3,204 on 2/23/2019, 3,205 on 2/23/2020, 3,204 on 2/23/2021 and 3,205 on 2/23/2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.