### Edgar Filing: HARTZ CHARLES SCOTT - Form 4

| HARTZ CHARLES SCOT   | Г  |                                 |                               |   |                  |  |   |   |  |
|--|--|---------------------------------|-------------------------------|---|------------------|--|---|---|--|
| Form 4   |  |                                 |                               |   |                  |  |   |   |  |
| January 25, 2019   |  |                                 |                               |   |                  |  |   | PPROVAL   |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |  |                                 |                               |   |                  |  | 3235-0287   |   |  |
| Section 16.<br>Form 4 or<br>Form 5 Filed p                                     | sis box<br>ger<br>5.<br>6.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7.<br>7. |                                 |                               |   |                  |  |   |   |  |
| (Print or Type Responses)  |  |                                 |                               |   |                  |  |   |   |  |
| HARTZ CHARLES SCOTT Symbol   |  |                                 | er Name and Ticker or Trading |   |                  | 5. Relationship of Reporting Person(s) to<br>Issuer  |   |   |  |
| (Last) (First)   | (Middle)   | 3. Date of                      | Earliest Tra                  | ansaction   | -                | (Che   | ck all applicabl  | le)   |  |
|  |  |                                 | n/Day/Year)                   |   |                  | X_Director10% Owner<br>Officer (give titleOther (specify<br>below)below)   |   |   |  |
| (Street)   |  | Filed(Month/Day/Year) App       |                               |   |                  | Applicable Line)   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |   |  |
|  |  |                                 |                               |   |                  | Iore than One Reporting  |   |   |  |
| (City) (State)   | (Zip)  | Table                           | e I - Non-D                   | erivative S   | ecurities Ac     | quired, Disposed o   | of, or Beneficia  | ally Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)2. Transaction D<br>(Month/Day/Yea)        | ar) Executio<br>any  | med<br>on Date, if<br>Day/Year) | Code<br>(Instr. 8)            | 4. Securit<br>onAcquired<br>Disposed<br>(Instr. 3, 4) | (A) or<br>of (D) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                                    | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Class A<br>Common<br>Stock   |  |                                 |                               |   |                  | 2,097.427  | I   | By C. Scott<br>Hartz 2005<br>Delaware<br>Trust                    |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

#### 1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 2. 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative Expiration Date **Underlying Securities** (Instr. 3 and 4) Security or Exercise any Code Securities (Month/Day/Year) Price of (Month/Day/Year) (Instr. 8) (Instr. 3) Acquired (A) Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Amount Expiration Date or Title Exercisable Date Number Code V (A) (D) of Shares Directors' Class A Deferred \$ 0 <u>(1)</u> 01/24/2019 J(2) 93.596 (3) (3) Common 93.596 Compensation Stock Share Credits

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# **Reporting Owners**

| Reporting Owner Name / Address   |          |           |         |       |
|--|----------|-----------|---------|-------|
|  | Director | 10% Owner | Officer | Other |
| HARTZ CHARLES SCOTT<br>THE HARTZ GROUP<br>TWO BALA PLAZA, SUITE 300<br>BALA CYNWYD, PA 19004 | Х        |           |         |       |
| Signatures   |          |           |         |       |
| Rebecca A. Buona, Power of Attorney  | 01       | /25/2019  |         |       |
| **Signature of Reporting Person  |          | Date      |         |       |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion price is not applicable to shares granted under the Outside Directors' Deferred Compensation Plan.
- (2) Acquired under dividend reinvestment for Directors' Deferred Compensation Plan.

(3) The shares subject to this reporting are Share Credits which are periodically credited to the accounts of certain Directors of Erie
 (3) Indemnity Company pursuant to its Outside Directors' Stock Plan. These Share Credits represent the right to receive an equivalent number of shares of Erie Indemnity Company Class A common stock when the reporting individual's service as a Director of the

Company ends. There are no exercisable or expiration dates for these securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.