

McCormick Andrew C.

Form 3

January 10, 2019

**FORM 3****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

McCormick Andrew C.

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/01/2019

3. Issuer Name **and** Ticker or Trading Symbol  
PRICE T ROWE GROUP INC [TROW]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
Vice President6. Individual or Joint/Group  
Filing(Check Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting  
Person  
\_\_\_\_ Form filed by More than One  
Reporting Person

100 E. PRATT STREET

(Street)

BALTIMORE, MD 21202

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

43,620.183

D

A

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)Date  
ExercisableExpiration  
Date3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)

Title

Amount or  
Number of4. Conversion  
or Exercise  
Price of  
Derivative  
Security5. Ownership  
Form of  
Derivative  
Security:  
Direct (D)  
or Indirect6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

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|                              |               |            |              | Shares |            | (I)<br>(Instr. 5) |   |
|------------------------------|---------------|------------|--------------|--------|------------|-------------------|---|
| Stock Options (Right to Buy) | Â <u>(1)</u>  | 02/12/2019 | Common Stock | 4,000  | \$ 26.3885 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(2)</u>  | 09/10/2019 | Common Stock | 126    | \$ 43.3725 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(3)</u>  | 02/18/2020 | Common Stock | 63     | \$ 47.6474 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(4)</u>  | 09/08/2020 | Common Stock | 2,118  | \$ 45.7933 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(5)</u>  | 02/17/2021 | Common Stock | 1,479  | \$ 67.5613 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(6)</u>  | 02/23/2022 | Common Stock | 1,561  | \$ 59.0693 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(7)</u>  | 09/06/2022 | Common Stock | 126    | \$ 60.7984 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(8)</u>  | 02/21/2023 | Common Stock | 7,686  | \$ 69.6708 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(9)</u>  | 09/10/2023 | Common Stock | 7,687  | \$ 70.2854 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(10)</u> | 02/19/2024 | Common Stock | 7,686  | \$ 77.9443 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(11)</u> | 09/09/2024 | Common Stock | 7,687  | \$ 78.4418 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(12)</u> | 02/19/2025 | Common Stock | 7,687  | \$ 80.9492 | D                 | Â |
| Stock Options (Right to Buy) | Â <u>(13)</u> | 09/10/2025 | Common Stock | 7,500  | \$ 70.92   | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                                      | Relationships |           |                  |       |
|---------------------------------------------------------------------|---------------|-----------|------------------|-------|
|                                                                     | Director      | 10% Owner | Officer          | Other |
| McCormick Andrew C.<br>100 E. PRATT STREET<br>BALTIMORE,Â MDÂ 21202 | Â             | Â         | Â Vice President | Â     |

## Signatures

/s/ Andrew C.  
McCormick

01/07/2019

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 02/12/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010
- (2) 09/10/2009 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2010.
- (3) 02/18/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (4) 09/08/2010 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2011.
- (5) 02/17/2011 Grant - The option vests 20% annually over a 5 year period beginning on 11/01/2012.
- (6) 02/23/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (7) 09/06/2012 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2013.
- (8) 02/21/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.
- (9) 09/10/2013 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2014.
- (10) 02/19/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.
- (11) 09/09/2014 Grant - The option vests 20% annually over a 5 year period beginning on 12/10/2015.
- (12) 02/19/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.
- (13) 09/10/2015 Grant - The option vests 20% annually over a 5 year period beginning on 12/09/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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