

Ferrero Lisa  
Form 4  
December 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ferrero Lisa

(Last) (First) (Middle)

ONE RIVERFRONT PLAZA

(Street)

CORNING, NY 14831

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

CORNING INC /NY [GLW]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/30/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Senior Vice President &amp; CAO

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/30/2018		M	14,361 A	\$ 0 <sup>(1)</sup> 36,294	D	
Common Stock	11/30/2018		M	11,111 A	\$ 0 <sup>(1)</sup> 47,405	D	
Common Stock	11/30/2018		M	11,022 A	\$ 0 <sup>(1)</sup> 58,427	D	
Common Stock	11/30/2018		F	17,873 D	\$ 32.22 40,554	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	(1)	11/30/2018		M		14,361		(2)	(2)	Common Stock	14,361
Restricted Stock Unit	(1)	11/30/2018		M		11,111		(3)	(3)	Common Stock	11,111
Restricted Stock Unit	(1)	11/30/2018		M		11,022		(4)	(4)	Common Stock	11,022
Restricted Stock Unit	(1)	11/30/2018		D		1,002 (5)		(6)	(7)	Common Stock	1,002

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Ferrero Lisa ONE RIVERFRONT PLAZA CORNING, NY 14831	Senior Vice President & CAO

## Signatures

Linda E. Jolly, Power of Attorney 12/04/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each restricted stock unit represented a contingent right to receive one share of Corning Incorporated Common Stock.

(2) Earned Restricted Stock Units awarded March 31, 2016 remained restricted until November 30, 2018, when they vested and converted into GLW common stock per terms of March 2016 Agreement approved by Compensation Committee.

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- (3) Earned Restricted Stock Units awarded March 31, 2017 remained restricted until November 30, 2018, when they vested and converted into GLW common stock per terms of March 2017 Agreement approved by Compensation Committee.
- (4) Earned Restricted Stock Units awarded April 2, 2018 remained restricted until November 30, 2018, when they vested and converted into GLW common stock per terms of April 2018 Agreement approved by Compensation Committee.
- (5) Represents the forfeiture of Restricted Stock Units awarded April 2, 2018, per terms of the April 2018 Agreement approved by Compensation Committee.
- (6) Restricted Stock Units awarded April 2, 2018 were forfeited on November 30, 2018 per terms of April 2018 Agreement approved by Compensation Committee.
- (7) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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