

West Thomas A.
Form 4
November 07, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
West Thomas A.

2. Issuer Name **and** Ticker or Trading
Symbol
HOLOGIC INC [HOLX]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

250 CAMPUS DRIVE

3. Date of Earliest Transaction
(Month/Day/Year)
11/05/2018

____ Director ____ 10% Owner
____X____ Officer (give title below) ____ Other (specify below)

Div President & GM, Diagnostic

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

MARLBOROUGH, MA 01752

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (1)	(A) or (D)	Price
Common Stock	10/03/2018		M		4,064 (1)	A	(2) 32,970 (3)
Common Stock	11/05/2018		M		2,086 (1)	A	(2) 35,664 (4) (5)
Common Stock	11/05/2018		A		14,388 (6)	A	(2) 50,052 (4) (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Dep Secu (Instr	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit Award (Right To Receive)	\$ 0 <u>(2)</u>	10/03/2018		M		4,064		<u>(8)</u>	<u>(8)</u>	Common Stock	4,064
Restricted Stock Unit Award (Right To Receive)	<u>(2)</u>	11/05/2018		M		2,086		<u>(9)</u>	<u>(9)</u>	Common Stock	2,086

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
West Thomas A. 250 CAMPUS DRIVE MARLBOROUGH, MA 01752	Div President & GM, Diagnostic

Signatures

/s/ Patricia K. Dolan, attorney-in-fact for Mr.
West

11/07/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents vested restricted stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan and are voluntarily being reported on Table I.
- (2) Restricted stock units and performance stock units are settled in shares of common stock on a one-for-one basis.

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- (3) Includes 18,053 restricted stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan.
- (4) Includes 608 shares acquired pursuant to the Issuer's employee stock purchase plan since the date of the Reporting Person's most recently filed Form 4.
- (5) Includes 20,139 restricted stock units/performance stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan.
- (6) Represents vested performance stock units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan and are being voluntarily reported on Table I.
- (7) Includes 34, 527 restricted stock units/performance share units, the settlement of which has been deferred pursuant to the Issuer's Deferred Equity Plan.
- (8) This restricted stock unit award vests in four installments beginning on first anniversary of the grant date, October 3, 2014.
- (9) Represents restricted stock units which vest in equal installments on each of the first three anniversaries of the grant date, November 5, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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