

RANKIN ALFRED M ET AL
Form 4
November 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ALFRED M ET AL

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/31/2018		P	1 ⁽¹⁾ A	\$ 61.83 ₍₂₎ 186	I	Spouse's proportionate interest in shares held by RA VI
Class A Common Stock	10/31/2018		P	32 ⁽¹⁾ A	\$ 62.97 ₍₃₎ 7,215	I	Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by

Class A Common Stock	10/31/2018	P	63 <u>(1)</u>	A	\$ <u>62.97</u> (3)	18,039	I	RA6 Reporting Person Serves as Trustee for GSTs for the Benefit of Helen R. Butler
Class A Common Stock	10/31/2018	P	63 <u>(1)</u>	A	\$ <u>62.97</u> (3)	18,039	I	Reporting Person Serves as Trustee for GSTs for the Benefit of Clara R. Williams
Class A Common Stock	10/31/2018	P	1 <u>(1)</u>	A	\$ <u>62.97</u> (3)	186	I	Brother's Proportionate interest in share held by Rankin Associates VI
Class A Common Stock	11/01/2018	P	265 <u>(1)</u>	A	\$ 61.746	6,673	I	Serves as trustee of trust for the benefit of Clara Rankin <u>(4)</u>
Class A Common Stock	11/01/2018	P	529 <u>(1)</u>	A	\$ 61.746	113,159	I	Reporting Person serves as Trustee of a Trust for the benefit of the Alfred M. Rankin, Jr.
Class A Common Stock	11/01/2018	P	1,058 <u>(1)</u>	A	\$ 61.746	47,698	I	spouse serves as Trustee of a Trust for the benefit of Victoire G. Rankin <u>(5)</u>
Class A Common Stock	11/01/2018	P	794 <u>(1)</u>	A	\$ 61.746	20,040	I	Reporting person serves as Trustee of a Trust for the benefit of Bruce T. Rankin <u>(5)</u>
	11/01/2018	P	59 <u>(1)</u>	A		110,959	I	

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Class A Common Stock					\$ 60.03 <u>(6)</u>			Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 60.03 <u>(6)</u>	225	I	Represents the proportionate interest in shares held by RA5
Class A Common Stock	11/01/2018	P	530 <u>(1)</u>	A	\$ 61.67 <u>(7)</u>	111,489	I	Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock	11/01/2018	P	1 <u>(1)</u>	A	\$ 61.67 <u>(7)</u>	226	I	Represents the proportionate interest in shares held by RA5
Class A Common Stock	11/01/2018	P	329 <u>(1)</u>	A	\$ 62.18 <u>(8)</u>	111,818	I	Serves as Trustee of Trust fbo Clara Rankin representing interest in shares held by RA5
Class A Common Stock						175,278	I	Represents the proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of

				the Reporting Person.
Class A Common Stock	10,271	I		proportionate LP interest in shares held by RA I in qualified annuity interest trust-benefit of AMR
Class A Common Stock	951	I		Represents the proportionate limited partnership interest in shares held by Rankin Associates II, LP
Class A Common Stock	19	I		proportionate general partnership interest shares held by RAIV
Class A Common Stock	1,087	I		Proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	189	I		Represents the proportionate interest in shares held by RAVI
Class A Common Stock	1,975	I		proportionate LP interest in shares of RA II LP held by Rankin Management Inc as general partner
	57	I		

Class A Common Stock			Proportionate Interest in shares held by RA5 held by Rankin Management Inc.
Class A Common Stock	64	I	Proportionate Interest in shares held by RA6 held by Rankin Management Inc.
Class A Common Stock	18,625	I	Reporting Person serves as Trustee of Trusts for the benefit of the Estate of Alfred M. Rankin. ⁽⁵⁾
Class A Common Stock	42,666	I	proportionate LP interest in shares held by RA I LP held in trust for benefit of Bruce Rankin ⁽⁵⁾
Class A Common Stock	26,119	I	proportionate LP interest in shares held by RA II LP held in trust for benefit of Bruce Rankin ⁽⁵⁾
Class A Common Stock	46,182	I	Trustee of a Trust for the benefit of Bruce T Rankin, Trust's proportionate interest of RAIV ⁽⁵⁾

Class A Common Stock	9,600	I	Reporting Person serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <u>(5)</u>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price Derivati Security (Instr. 5)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(9)					(9)	(9)	(9)	(9)	Class A Common Stock	204,146
Class B Common Stock	(9)					(9)	(9)	(9)	(9)	Class A Common Stock	14,160
Class B Common	(9)					(9)	(9)	(9)	(9)	Class A Common	17,556

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Stock

Stock

Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	18,373
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Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	951
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Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	31
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Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	1,721
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Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	1,975
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Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	18,625
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Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	76,330
Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	26,119
Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	73,166
Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	747
Class B Common Stock	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A Common Stock	9,600
Class B	<u>(9)</u>		<u>(9)</u>	<u>(9)</u>	Class A	6,889

Common
Stock

Common
Stock

Class B
Common
Stock

(9)

(9)

(9)

Class A
Common
Stock

6,889

Class B
Common
Stock

(9)

(9)

(9)

Class A
Common
Stock

21,006

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
RANKIN ALFRED M ET AL 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124	X		Chairman	

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

11/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Oct-31 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.00.
- (3) 2018-Oct-31 -Block 3 Weighted Average- Share Price represents average price between \$62.85 and \$64.99.
- (4) Reporting person serves as Trust for Clara L.T. Rankin Trust
- (5) Reporting Person disclaims beneficial ownership of all such shares.
- (6) 2018-Nov-1 -Block 1 Weighted Average- Share Price represents average price between \$60.00 and \$60.05.
- (7) 2018-Nov-1 -Block 2 Weighted Average- Share Price represents average price between \$61.25 and \$61.96.
- (8) 2018-Nov-1 -Block 3 Weighted Average- Share Price represents average price between \$62.00 and \$62.97.

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(9) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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