#### WOODS GORDON T

Form 4

September 04, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* WOODS GORDON T

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

SIERRA BANCORP [BSRR]

5. Relationship of Reporting Person(s) to Issuer

(Last)

(City)

(First) (Middle)

(Zip)

3. Date of Earliest Transaction

(Check all applicable)

86 N. MAIN STREET

(Month/Day/Year) 08/30/2018

Filed(Month/Day/Year)

X\_ Director 10% Owner Officer (give title

below)

Other (specify

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PORTERVILLE, CA 93257

							• •		•
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securi		•	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)	(Internal, Buy, 1 cur)	any	Code	· · · · · · · · · · · · · · · · · · ·			Beneficially	(D) or	Beneficial
()		(Month/Day/Year)	(Instr. 8)	` '		Owned	Indirect (I)	Ownership	
		( · · · · · · · · · · · · · · · · · · ·	(,	( , ,		Following	(Instr. 4)	(Instr. 4)	
							Reported	` '	`
					(A)		Transaction(s)		
			C-1- V	A 4	or	D	(Instr. 3 and 4)		
			Code V	Amount	\ /	Price			
Common	08/30/2018		S	1,472	D	\$ 29.5	23,528	D	
Stock	00/20/2010		S	1, . , 2	_	29.5	20,020	2	
Common						Ф			
	08/31/2018		S	3,528	D	φ 20.5	17,500	D	
Stock						29.5			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: WOODS GORDON T - Form 4

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transacti Code (Instr. 8)	ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Under Securi (Instr.	rlying	Derivative Security (Instr. 5)	Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting owner runte, runtess	Director	10% Owner	Officer	Other			
WOODS GORDON T 86 N. MAIN STREET PORTERVILLE, CA 93257	X						

## **Signatures**

/s/ Gordon T.
Woods

\*\*Signature of Reporting Person

O9/04/2018

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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