HOOVER R DAVID Form 4/A

March 13, 2018 FORM 4

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * HOOVER R DAVID			2. Issuer Name <b>and</b> Ticker or Trading Symbol BALL Corp [BLL]	5. Relationship of Reporting Person(s) to Issuer			
			* -	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	X Director 10% Owner			
BALL CORPORATION, 10 LONGS			03/07/2018	Officer (give title Other (specify			
PEAK DR.	EAK DR.			below) below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
			03/09/2018	_X_ Form filed by One Reporting Person			
BROOMFIEI	LD, CO 800	021-2510	00/03/12010	Form filed by More than One Reporting Person			
(City)	(State)	(Zin)					

(City)	(State)	(Zip) Ta	ble I - Non-D	ed, Disposed of, or	Disposed of, or Beneficially Owned			
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature	
Security	(Month/Day/Year)	Execution Date, if	Transaction	or Disposed of (D)	Securities	Ownership	of Indirect	
(T . 0)			G 1	(T : 0 4 1.5)	D C . 11	_	D C . 1	

(Instr. 3)		any	Code	(Instr. 3, 4	and 5)		Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned Following	Direct (D) or Indirect	Ownership (Instr. 4)
							Reported	(I)	(111511. 4)
					(A)		Transaction(s)	(Instr. 4)	
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common	02/07/2019		<b>M</b> (1)	100.000		\$	976 926	T	RDH
Stock	03/07/2018		M <u>(1)</u>	100,000	A	25.055	876,826	1	Trust
Common Stock	03/07/2018		$F^{(2)(3)}$	57,583	D	\$ 41.02	819,243	I	RDH Trust

Common 192,393.7328 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

### Edgar Filing: HOOVER R DAVID - Form 4/A

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	<ol><li>Date Exerci</li></ol>	6. Date Exercisable and		7. Title and Amor	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	nDerivative	Expiration Dat	Expiration Date		Underlying Secur	
Security	or Exercise		any	Code	Securities	(Month/Day/Y	(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A) of	or				
	Derivative				Disposed of (D	))				
	Security				(Instr. 3, 4, and	l				
					5)					
						Date	Expiration	Title	Am	
				Code V	(A) (D)	Exercisable	Date	11010	Sha	
Stock								Common		
Appreciation Rights (sars)	\$ 12.5275	03/07/2018		M <u>(4)</u>	100,000	0 04/23/2009	04/23/2018	Stock	10	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HOOVER R DAVID
BALL CORPORATION
10 LONGS PEAK DR.
BROOMFIELD, CO 80021-2510

## **Signatures**

/s/ Janice L. Rodriguez, attorney-in-fact for Mr.
Hoover

03/13/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARs).
- (2) Shares withheld for the cost of the Stock Appreciation Rights (SARs) and for payment of the tax obligation on the exercise of the Table II SARs.
- (3) Due to an administrative error, the number of withheld shares was shown as 57,730 instead of 57,583. Correcting entry.
- (4) Exercise of Stock Appreciation Rights (SARs).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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